

ζ -functions of Fourier Integral Operators: gauged poly-log-homogeneous distributions

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- ▶ Operator ζ -functions allow us to construct traces!
- ▶ \Rightarrow wave traces: $\text{tr}e^{it\sqrt{|\Delta|}}$ (t -values of poles are lengths of closed geodesics)
- ▶ physics: wave propagators are (closely related to) Fourier Integral Operators
 \Rightarrow traces allow reconstruction of the QFT

Definition (Phase Function)

Let $N \in \mathbb{N}$. A function

$$\vartheta \in C(X \times X \times \mathbb{R}^N) \cap C^\infty(X \times X \times (\mathbb{R}^N \setminus \{0\}))$$

is called a phase function if and only if it is positively homogeneous of degree 1 in the third argument, i.e.,

$$\forall x, y \in X \quad \forall \xi \in \mathbb{R}^N \quad \forall \lambda \in \mathbb{R}_{>0} : \vartheta(x, y, \lambda\xi) = \lambda\vartheta(x, y, \xi).$$

Example

Pseudo-differential phase function: $\vartheta(x, y, \xi) = \langle x - y, \xi \rangle_{\ell_2(N)}$ with $N = \dim X$.

Definition

Let $U \subseteq \mathbb{R}^n$ be open, $N \in \mathbb{N}$, and $m \in \mathbb{R}$. The Hörmander class $S^m(U \times U \times \mathbb{R}^N)$ is defined as the set of all $a \in C^\infty(U \times U \times \mathbb{R}^N)$ such that for every $K \subseteq_{\text{compact}} U^2$ and all multi-indices α, β, γ there exists a constant $c \in \mathbb{R}_{>0}$ such that

$$\forall (x, y) \in K \quad \forall \xi \in \mathbb{R}^N \setminus B_{\mathbb{R}^N}(0, 1) : \left| \partial_1^\alpha \partial_2^\beta \partial_3^\gamma a(x, y, \xi) \right| \leq c \left(1 + \|\xi\|_{\ell_2(N)} \right)^{m - \|\gamma\|_{\ell_1(N)}}$$

holds.

Definition

A Fourier Integral Operator $A: C_c^\infty(X) \rightarrow C_c^\infty(X)'$ on X is a linear operator whose Schwartz kernel $k \in C_c^\infty(X \times X)'$ is a locally finite sum of local representations of the form

$$k(x, y) = \int_{\mathbb{R}^N} e^{i\vartheta(x, y, \xi)} a(x, y, \xi) d\xi,$$

i.e.,

$$\forall \varphi, \psi \in C_c^\infty(X): A(\varphi)\psi = \sum_{i=1}^n \int_{X^2} k_i(x, y) \varphi(y) \psi(x) d\text{vol}_{X^2}(x, y),$$

where, for each localization $U \subseteq X$, ϑ is a phase function and a is an element of some Hörmander class $S^m(U \times U \times \mathbb{R}^N)$. a is also called an amplitude or symbol.

Definition

Let ϑ be a phase function. Then, we call

$$C(\vartheta) := \{(x, y, \xi) \in X \times X \times (\mathbb{R}^N \setminus \{0\}); \partial_3 \vartheta(x, y, \xi) = 0\}$$

the critical set of ϑ .

ϑ is called non-degenerate if and only if the family of differentials

$$(d\partial_{3,j}\vartheta(x, y, \xi))_{j \in \mathbb{N}_{\leq N}}$$

is linearly independent for every $(x, y, \xi) \in C(\vartheta)$ where $\partial_{3,j}$ denotes the derivative with respect to the j^{th} component of the third argument.

Definition

Let $\Lambda \subseteq T^*(X^2) \setminus 0$ be a Lagrangian manifold and A a Fourier Integral Operator of the form $A = \sum_{j=1}^n A_j$ where each A_j has a non-degenerate phase function ϑ_j defined on an open, conic subset $U_j \subseteq_{\text{open}} X \times X \times (\mathbb{R}^{N_j} \setminus \{0\})$ such that

$$U_j \cap C(\vartheta_j) \ni (x, y, \xi) \mapsto (x, y, \partial_1 \vartheta_j(x, y, \xi), \partial_2 \vartheta_j(x, y, \xi))$$

is a diffeomorphism onto an open subset $U_j^\Lambda \subseteq_{\text{open}} \Lambda$, and amplitude $a_j \in S^{m + \frac{\dim X - N_j}{2}}(X \times X \times \mathbb{R}^{N_j})$ with

$$\text{spta}_j \subseteq \{(x, y, t\xi) \in X \times X \times \mathbb{R}^{N_j}; (x, y, \xi) \in K \wedge t \in \mathbb{R}_{>0}\}$$

for some $K \subseteq_{\text{compact}} U_j$. Then, we say A is an element of $I^m(X \times X, \Lambda)$ (or more precisely, A has a kernel in $I^m(X \times X, \Lambda)$).

Definition (Canonical Relation)

Let $\Gamma \subseteq T_0^* X \times T_0^* X$ be a relation satisfying

- (i) Γ is symmetric, i.e., $\forall (p, q) \in \Gamma : (q, p) \in \Gamma$,
- (ii) Γ is transitive, i.e., $\forall (p, q), (q, r) \in \Gamma : (p, r) \in \Gamma$,

We will call any such Γ a canonical relation. Furthermore, we will assume that all canonical relations satisfy

- (iii) the composition $\Gamma \circ \Gamma$ is clean, i.e., $\Gamma \times \Gamma$ intersects $T^* X \times \text{diag}(T^* X \times T^* X) \times T^* X$ in a manifold whose tangent plane is precisely the intersection of the tangent planes of $\Gamma \times \Gamma$ and $T^* X \times \text{diag}(T^* X \times T^* X) \times T^* X$ where $\text{diag}(T^* X \times T^* X) := \{(x, y) \in T^* X \times T^* X; x = y\}$,
- (iv) the projection $\text{pr}_1 : \Gamma \rightarrow T^* X; (p, q) \mapsto p$ is proper, i.e., pre-sets of compacta are compact.

Definition (Twisted Canonical Relation)

We will call the set

$$\Gamma' := \{((x, \xi), (y, \eta)) \in T_0^* X \times T_0^* X; ((x, \xi), (y, -\eta)) \in \Gamma\}$$

a twisted canonical relation.

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Let $\Gamma \subseteq T_0^*X \times T_0^*X$ be a homogeneous canonical relation with $\Gamma \circ \Gamma = \Gamma$. Then, we call

$$\mathcal{A}_\Gamma := \bigcup_{m \in \mathbb{R}} I^m(X \times X, \Gamma')$$

the algebra of Fourier Integral Operators associated with Γ .

Lemma

Let A be a Fourier Integral Operator with kernel $k \in I^m(X \times X, \Lambda)$. If $m < -\dim X$, then A is of trace-class.

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Let $k(x, y) = \int_{\mathbb{R}^N} e^{i\vartheta(x, y, \xi)} a(x, y, \xi) d\xi$ be a localization of the Schwartz kernel of an $A \in \mathcal{A}_{\Lambda'}$ with $a \in S^m(U \times \mathbb{R}^N)$ for some $m < -N$ and $U \subseteq_{\text{open}} X^2$. Then, $k \in C(U)$.

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Corollary

There exists a subalgebra $\mathcal{A}_{\Lambda', 0} \subseteq \mathcal{A}_{\Lambda'}$ which consists of trace-class operators with continuous kernels. In particular, if k is the kernel of $A \in \mathcal{A}_{\Lambda', 0}$, then

$$\text{tr} A = \int_X k(x, x) d\text{vol}_X(x) = \langle k, \delta_{\text{diag}} \rangle.$$

ζ -regularization

- ▶ Let \mathcal{A} be an operator algebra.
- ▶ Let $\mathcal{A}_0 \subseteq \mathcal{A}$ be a subalgebra.
- ▶ Let $\tau : \mathcal{A}_0 \rightarrow \mathbb{C}$ be a trace, i.e., linear functional such that $\forall x, y \in \mathcal{A}_0 : \tau(xy) = \tau(yx)$.

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- ▶ Instead of $A \in \mathcal{A}$, consider $\varphi : \mathbb{C} \rightarrow \mathcal{A}$ holomorphic such that $\varphi(0) = A$ and

$$\exists \Omega_0 \subseteq_{\text{open, connected}} \mathbb{C} : \varphi[\Omega_0] \subseteq \mathcal{A}_0.$$

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- ▶ Let $\Omega \subseteq_{\text{open, connected}} \mathbb{C}$ be maximal satisfying $\Omega_0 \subseteq \Omega$ such that $\tau \circ \varphi : \Omega_0 \rightarrow \mathbb{C}$ has a holomorphic extension $\zeta(\varphi) : \Omega \rightarrow \mathbb{C}$.

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- ▶ Is $\zeta(\varphi)$ holomorphic in a neighborhood of 0? (Want $\text{tr} A := \zeta(\varphi)(0)$.)
- ▶ Does $\varphi(0) = \psi(0)$ imply $\zeta(\varphi)(0) = \zeta(\psi)(0)$?

ζ -regularization for pseudo-differential operators

- ▶ Let $\mathcal{A} = \Psi^{\text{cl}}$ be the algebra of classical pseudo-differential operators on a compact manifold X without boundary.
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 $\Rightarrow \zeta(\varphi)(0) = \int_M \left(k(0) - \sum_{j=0}^N k_{m-j}(0) \right) (x, x) d\text{vol}_X(x)$

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Then, $\Omega_0 = \mathbb{C}_{\Re(\cdot) < -\max\{\dim X, N\} - \Re(m)}$.

Let $k(z)$ be the kernel of $\varphi(z)$. Then, we want to show that

$$\Omega_0 \ni z \mapsto \langle k(z), \delta_{\text{diag}} \rangle \in \mathbb{C}$$

has a meromorphic extension to \mathbb{C} .

The Black Box Magic Theorem

Theorem (Hörmander Thm 21.2.10)

Let S be a conic symplectic manifold of dimension $2n$ and V_1 and V_2 conic Lagrangian submanifolds intersecting cleanly at $\gamma \in S$.

Then, there are homogeneous symplectic coordinates (x, ξ) at γ such that $\gamma = (0, e_1)$, $e_1 = (1, 0, \dots, 0)$, and near γ

$$V_1 = \{(0, \xi)\}$$

$$V_2 = \{(0, x'', \xi', 0)\}$$

where $\xi' = (\xi_1, \dots, \xi_k)$, $x'' = (x_{k+1}, \dots, x_n)$, and $k = \dim V_1 \cap V_2$.

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- ▶ Hence, there exists a polyhomogeneous $\alpha(z)$ such that

$$\langle k(z), \delta_{\text{diag}} \rangle = \langle P^T k(z), \delta_0 \rangle = \int_{\mathbb{R}^k} \alpha(z)(\xi) d\xi$$

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Remark (Zworski). For trace-class $A \in \mathcal{A}_\Gamma$ there exists a FIO F such that $\text{tr} A = \text{tr}(F^{-1}AF) = \int \alpha$.

Black Box Magic for pseudo-differential operators

Consider a trace-class pseudo-differential operator A with symbol σ . Then, we have

$$\mathrm{tr}A = \left\langle (x, y) \mapsto \int_{\mathbb{R}^{\dim X}} e^{i\langle x-y, \xi \rangle} \sigma(x, y, \xi) d\xi, \delta_{\mathrm{diag}} \right\rangle$$

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 &= \int_X \int_{\mathbb{R}^{\dim X}} \sigma(x, x, \xi) d\xi d\operatorname{vol}_X(x) \\
 &= \int_{\mathbb{R}^{\dim X}} \underbrace{\int_X \sigma(x, x, \xi) d\operatorname{vol}_X(x)}_{=: \alpha(\xi)} d\xi
 \end{aligned}$$

A gauged poly-log-homogeneous distribution α is a holomorphic family $(\alpha(z))_{z \in \mathbb{C}}$ with an expansion

$$\alpha = \alpha_0 + \sum_{\iota \in I} \alpha_\iota$$

where

- ▶ $I \subseteq \mathbb{N}$
- ▶ $\alpha_0(z) \in L_1(\mathbb{R}_{\geq 1} \times M)$ for all z in an open neighborhood of $\mathbb{C}_{\Re \leq 0}$ where M is a compact, orientable, finite dimensional manifold without boundary
- ▶ $\forall \iota \in I \exists d_\iota \in \mathbb{C} \exists l_\iota \in \mathbb{N}_0 \exists \tilde{\alpha}_\iota \in C^\omega(\mathbb{C}, L_1(M)) \forall (r, \nu) \in \mathbb{R}_{\geq 1} \times M :$

$$\alpha_\iota(z)(r, \nu) = r^{d_\iota + z} (\ln r)^{l_\iota} \tilde{\alpha}_\iota(z)(\nu)$$

Furthermore (primarily if I is infinite)

- ▶ The family $(\Re(d_\iota))_{\iota \in I}$ is bounded from above.¹
- ▶ The map $I \ni \iota \mapsto (d_\iota, l_\iota)$ is injective.
- ▶ There are only finitely many ι satisfying $d_\iota = d$ for any given $d \in \mathbb{C}$.
- ▶ The family $((d_\iota - \delta)^{-1})_{\iota \in I}$ is in $\ell_2(I)$ for any $\delta \in \mathbb{C} \setminus \{d_\iota; \iota \in I\}$.
- ▶ Each $\sum_{\iota \in I} \tilde{\alpha}_\iota(z)$ converges unconditionally in $L_1(M)$.²

¹Note, we do not require $\Re(d_\iota) \rightarrow -\infty$. $\forall \iota \in I: \Re(d_\iota) = 42$ is entirely possible.

²Unconditional convergence of $\sum_{\iota \in I} \tilde{\alpha}_\iota(z)$ in $L_1(M)$ may also be replaced by the slightly weaker, though more artificial, condition $\sum_{\iota \in I} \|\tilde{\alpha}_\iota(z)\|_{L_1(M)}^2 < \infty$.

Example (Classical pseudo-differential operator)

- ▶ Let $\sigma \sim \sum_{j \in \mathbb{N}_0} a_{m-j}$ be a classical symbol.

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Example (Classical pseudo-differential operator)

- ▶ Let $\sigma \sim \sum_{j \in \mathbb{N}_0} a_{m-j}$ be a classical symbol.
- ▶ gauging $\sigma \rightsquigarrow \sigma(z) \sim \sum_{j \in \mathbb{N}_0} a_{m-j+z}(z)$
- ▶ splitting into trace-class and non-trace-class: $I = \{j \in \mathbb{N}_0; \Re(m) - j \geq -\dim X\}$
- ▶ $M = \partial B_{\mathbb{R}^{\dim X}}$
- ▶ $\forall z \in \mathbb{C} \forall \ell \in I \forall (r, \nu) \in \mathbb{R}_{\geq 1} \times M : \alpha_\ell(z)(r, \nu) := \int_X a_{m-\ell+z}(z)(x, x, r\nu) d\text{vol}_X(x)$
- ▶ $\alpha_0(z)(r, \nu) := \int_X \sigma(z)(x, x, r\nu) - \sum_{\ell \in I} a_{m-\ell+z}(z)(x, x, r\nu) d\text{vol}_X(x)$

ζ -functions of gauged poly-log-homogeneous distributions

Formal computation:

$$\int_{\mathbb{R}_{\geq 1} \times M} \alpha(z) d\text{vol}_{\mathbb{R}_{\geq 1} \times M}$$

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 &= \tau_0(z) + \sum_{\iota \in I} \int_{\mathbb{R}_{\geq 1}} \int_M \alpha_\iota(z)(r, \nu) r^{\dim M} d\text{vol}_M(\nu) dr
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 &= \tau_0(z) + \sum_{\iota \in I} \int_{\mathbb{R}_{\geq 1}} \int_M \alpha_\iota(z)(r, \nu) r^{\dim M} d\text{vol}_M(\nu) dr \\
 &= \tau_0(z) + \sum_{\iota \in I} \underbrace{\int_{\mathbb{R}_{\geq 1}} r^{\dim M + d_\iota + z} (\ln r)^{l_\iota} dr}_{=:c_\iota(z)} \underbrace{\int_M \tilde{\alpha}_\iota(z) d\text{vol}_M}_{=: \text{res} \alpha_\iota(z) \in \mathbb{C}}
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 &= \tau_0(z) + \sum_{\iota \in I} c_\iota(z) \text{res} \alpha_\iota(z)
 \end{aligned}$$

ζ -functions of gauged poly-log-homogeneous distributions

Lemma

For $\Re(z) \ll 0$: $c_\ell(z) = (-1)^{l_\ell+1} l_\ell! (\dim M + d_\ell + z + 1)^{-(l_\ell+1)} =: \tilde{c}_\ell(z)$

Proof.

Use upper incomplete Γ -function Γ_{ui} to show

$$\left(\mathbb{R}_{>0} \ni y \mapsto \frac{-\Gamma_{ui}(l+1, -(d+1) \ln y)}{(-(d+1))^{l+1}} \in \mathbb{C} \right)'(x) = x^d (\ln x)^l$$

and then integrate

$$\int_{\mathbb{R}_{>1}} r^{\dim M + d_\ell + z} (\ln r)^{l_\ell} dr.$$



Lemma

For every $z \in \mathbb{C} \setminus \{-\dim M - d_\iota - 1; \iota \in I\}$, $\sum_{\iota \in I} \tilde{c}_\iota(z) \text{res} \alpha_\iota(z)$ converges absolutely.

Proof.

By assumption, $(\tilde{c}_\iota(z))_{\iota \in I} \in \ell_2(I)$ and $\sum_{\iota \in I} \tilde{\alpha}_\iota(z)$ uncond. conv. in $L_1(M)$. By

Theorem (Orlicz)

Let $p \in \mathbb{R}_{\geq 1}$, $q = \begin{cases} 2 & , p \in [1, 2] \\ p & , p \in \mathbb{R}_{> 2} \end{cases}$, and $\sum_{j \in \mathbb{N}} x_j$ converges unconditionally in L_p .

Then, $\sum_{j \in \mathbb{N}} \|x_j\|_{L_p}^q$ converges.

we have $(\text{res} \alpha_\iota(z))_{\iota \in I} \in \ell_2(I)$, i.e., $(\tilde{c}_\iota(z) \text{res} \alpha_\iota(z))_{\iota \in I} \in \ell_1(I)$.



ζ -functions of gauged poly-log-homogeneous distributions

Definition

Let α be a gauged poly-log-homogeneous distribution. Then, we define the ζ -function $\zeta(\alpha)$ of α to be the meromorphic extension of

$$\zeta(\alpha)(z) := \int_{\mathbb{R}_{\geq 1} \times M} \alpha(z) d\text{vol}_{\mathbb{R}_{\geq 1} \times M},$$

i.e., in an open neighborhood of $\mathbb{C}_{\Re(\cdot) \leq 0}$

$$\zeta(\alpha)(z) = \int_{\mathbb{R}_{\geq 1} \times M} \alpha_0(z) d\text{vol}_{\mathbb{R}_{\geq 1} \times M} + \sum_{\iota \in I} \frac{(-1)^{l_\iota+1} l_\iota! \text{res} \alpha_\iota(z)}{(\dim M + d_\iota + z + 1)^{l_\iota+1}}.$$

Theorem

$\zeta(\alpha)$ is a well-defined meromorphic function on an open neighborhood of $\mathbb{C}_{\Re(\cdot) \leq 0}$ and has at most isolated poles of finite order in the set

$$\{-d_\iota - \dim M - 1; \iota \in I\}.$$

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Example

For classical pseudo-differential operators: $\dim M = \dim X - 1$ and all l_ι vanish. Hence, ζ -functions of pseudo-differential operators exist and have at most isolated simple poles in the set

$$\{-d_\iota - \dim X; \iota \in I\}.$$

Definition

Let $f(z) := \sum_{n \in \mathbb{Z}} a_n (z - z_0)^n$ be without essential singularity at z_0 . Then we define:

- ▶ order of the initial Laurent coefficient: $\text{oilc}_{z_0}(f) := \min\{n \in \mathbb{Z}; a_n \neq 0\}$
- ▶ initial Laurent coefficient: $\text{ilc}_{z_0}(f) := a_{\text{oilc}_{z_0}(f)}$

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Lemma

Let $\alpha = \alpha_0 + \sum_{\iota \in I} \alpha_\iota$ and $\beta = \beta_0 + \sum_{\iota \in I'} \beta_\iota$ be two gauged poly-log-homogeneous distributions with $\alpha(0) = \beta(0)$ and $\text{res} \alpha_j(0) \neq 0$ if l_j is the maximal logarithmic order with $d_j = -\dim M - 1$.

Then, $\text{oilc}_0(\zeta(\alpha)) = \text{oilc}_0(\zeta(\beta)) = -l_j - 1$ and $\text{ilc}_0(\zeta(\alpha)) = \text{ilc}_0(\zeta(\beta))$.

Proof.

Since $\alpha(0) = \beta(0)$, we obtain that $z \mapsto \gamma(z) := \frac{\alpha(z) - \beta(z)}{z}$ is a gauged poly-log-homogeneous distribution again.



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Since $\alpha(0) = \beta(0)$, we obtain that $z \mapsto \gamma(z) := \frac{\alpha(z) - \beta(z)}{z}$ is a gauged poly-log-homogeneous distribution again. Furthermore,

$$\text{oilc}_0(\zeta(\gamma)) \geq \min\{\text{oilc}_0(\zeta(\alpha)), \text{oilc}_0(\zeta(\beta))\} =: -l = -l_j - 1$$

holds because each pair (d_ν, l_ν) in the expansion of γ appears in at least one of the expansions of α or β .



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This implies that $z \mapsto z^l \zeta(\gamma)(z) = z^{l-1} (\zeta(\alpha)(z) - \zeta(\beta)(z))$ is holomorphic at zero (equality holds for $\Re(z)$ sufficiently small and, thence, in general by meromorphic extension).



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Hence, the highest order poles of $\zeta(\alpha)$ and $\zeta(\beta)$ at zero must cancel out which directly implies $\text{oilc}_0(\zeta(\alpha)) = \text{oilc}_0(\zeta(\beta))$ and $\text{ilc}_0(\zeta(\alpha)) = \text{ilc}_0(\zeta(\beta))$. □

Lemma

Let $\alpha = \alpha_0 + \sum_{\iota \in I} \alpha_\iota$ and $\beta = \beta_0 + \sum_{\iota \in I'} \beta_\iota$ be two gauged poly-log-homogeneous distributions with $\alpha(0) = \beta(0)$ and $\forall \iota \in I \cup I' : d_\iota \neq -\dim M - 1$. Then, $\zeta(\alpha)(0) = \zeta(\beta)(0)$.

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Proof.

Again, since $\alpha(0) = \beta(0)$, we obtain that $z \mapsto \gamma(z) := \frac{\alpha(z) - \beta(z)}{z}$ is a gauged poly-log-homogeneous distribution and $\text{oilc}_0(\zeta(\gamma)) \geq 0$. Hence

$$\zeta(\alpha)(0) - \zeta(\beta)(0) = \text{res}_0 \left(z \mapsto \frac{\zeta(\alpha)(z) - \zeta(\beta)(z)}{z} \right) = \text{res}_0 \zeta(\gamma) = 0$$

where res_0 denotes the residue of a meromorphic function at zero. □

Definition

Let $\alpha = \alpha_0 + \sum_{\iota \in I} \alpha_\iota$ be a gauged poly-log-homogeneous distribution and $I_{z_0} := \{\iota \in I; d_\iota = -\dim M - 1 - z_0\}$. Then, we define

$$\mathfrak{fp}_{z_0}(\alpha) := \alpha - \sum_{\iota \in I_{z_0}} \alpha_\iota = \alpha_0 + \sum_{\iota \in I \setminus I_{z_0}} \alpha_\iota.$$

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Corollary

$\zeta(\mathfrak{fp}_0 \alpha)(0)$ is independent of the chosen gauge.

Theorem (Laurent expansion of $\zeta(\mathfrak{fp}_0\alpha)$)

Let $\alpha = \alpha_0 + \sum_{\iota \in I} \alpha_\iota$ be a gauged poly-log-homogeneous distribution with $I_0 = \emptyset$.
Then,

$$\zeta(\alpha)(z) = \sum_{n \in \mathbb{N}_0} \frac{\zeta(\partial^n \alpha)(0)}{n!} z^n$$

holds in a sufficiently small neighborhood of zero.

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holds in a sufficiently small neighborhood of zero.

The assertion is a direct consequence of the facts that the n^{th} Laurent coefficient of a holomorphic function f is given by $\frac{\partial^n f(0)}{n!}$ and

$$\partial^n \zeta(\alpha) = \partial^n \int_{\mathbb{R}_{\geq 1} \times M} \alpha \, d\text{vol}_{\mathbb{R}_{\geq 1} \times M} = \int_{\mathbb{R}_{\geq 1} \times M} \partial^n \alpha \, d\text{vol}_{\mathbb{R}_{\geq 1} \times M} = \zeta(\partial^n \alpha).$$

Theorem (Laurent expansion of $\zeta(\alpha)$)

Let $\alpha = \alpha_0 + \sum_{\iota \in I} \alpha_\iota$ be a gauged poly-log-homogeneous distribution. Then, (in a sufficiently small neighborhood of zero)

$$\begin{aligned} \zeta(\alpha)(z) &= \sum_{n \in \mathbb{N}_0} \sum_{\iota \in I_0} \frac{(-1)^{l_\iota+1} l_\iota! \int_M \partial^n \tilde{\alpha}_\iota(0) d\text{vol}_M}{n!} z^{n-l_\iota-1} \\ &+ \sum_{n \in \mathbb{N}_0} \frac{\int_{\mathbb{R}_{\geq 1} \times M} \partial^n \alpha_0(0) d\text{vol}_{\mathbb{R}_{\geq 1} \times M}}{n!} z^n \\ &+ \sum_{n \in \mathbb{N}_0} \sum_{\iota \in I \setminus I_0} \sum_{j=0}^n \frac{(-1)^{l_\iota+j+1} (l_\iota+j)! \int_M \partial^{n-j} \tilde{\alpha}_\iota(0) d\text{vol}_M}{n! (\dim M + d_\iota + 1)^{l_\iota+j+1}} z^n. \end{aligned}$$

$$\begin{aligned}
 \zeta(\mathfrak{A})(z) = & \sum_{n \in \mathbb{N}_0} \frac{\int_X \int_{B_{\mathbb{R}^N}(0,1)} e^{i\vartheta(x,x,\xi)} \partial^n a(0)(x,x,\xi) d\xi d\text{vol}_X(x)}{n!} z^n \\
 & + \sum_{n \in \mathbb{N}_0} \sum_{\iota \in I_0} \frac{(-1)^{l_\iota+1} l_\iota! \int_{\Delta(X) \times \partial B_{\mathbb{R}^N}} e^{i\vartheta} \partial^n \tilde{a}_\iota(0) d\text{vol}_{\Delta(X) \times \partial B_{\mathbb{R}^N}}}{n!} z^{n-l_\iota-1} \\
 & + \sum_{n \in \mathbb{N}_0} \frac{\int_{\mathbb{R}_{\geq 1} \times \partial B_{\mathbb{R}^N}} \int_X e^{i\vartheta(x,x,\xi)} \partial^n a_0(0)(x,x,\xi) d\text{vol}_X(x) d\text{vol}_{\mathbb{R}_{\geq 1} \times \partial B_{\mathbb{R}^N}}(\xi)}{n!} z^n \\
 & + \sum_{n \in \mathbb{N}_0} \sum_{\iota \in I \setminus I_0} \sum_{j=0}^n \frac{(-1)^{l_\iota+j+1} (l_\iota+j)! \int_{\Delta(X) \times \partial B_{\mathbb{R}^N}} e^{i\vartheta} \partial^{n-j} \tilde{a}_\iota(0) d\text{vol}_{\Delta(X) \times \partial B_{\mathbb{R}^N}}}{n!(N+d_\iota)^{l_\iota+j+1}} z^n
 \end{aligned}$$

where $\Delta(X) := \{(x,x) \in X^2; x \in X\}$.

Definition

If $a = a_0 + \sum_{\iota \in I} a_\iota$ is the amplitude of a gauged poly-log-homogeneous Fourier Integral Operator \mathfrak{A} with phase function ϑ and \mathfrak{A}_ι the gauged Fourier Integral Operator with phase function ϑ and amplitude a_ι , then

$$\text{res}\mathfrak{A}_\iota(z) := \int_{\partial B_{\mathbb{R}^N}} \int_X e^{i\vartheta(x,x,\xi)} \tilde{a}_\iota(z)(x,x,\xi) \, d\text{vol}_X(x) \, d\text{vol}_{\partial B_{\mathbb{R}^N}}(\xi).$$

The Residue Trace (ψ do: Wodzicki 1984, Guillemin 1985; FIO: Guillemin 1993)

Theorem

Let A and B be polyhomogeneous Fourier Integral Operators. Let \mathfrak{G}_1 and \mathfrak{G}_2 be gauged Fourier Integral Operators with $\mathfrak{G}_1(0) = AB$ and $\mathfrak{G}_2(0) = BA$. Then,

$$\text{res}_0 \zeta(\mathfrak{G}_1) = \text{res}_0 \zeta(\mathfrak{G}_2),$$

i.e., the residue of the ζ -function is tracial and $A \mapsto \text{res}_0 \zeta(\mathfrak{A})$ is a well-defined trace where \mathfrak{A} is any choice of gauge for A .

The Residue Trace (ψ do: Wodzicki 1984, Guillemin 1985; FIO: Guillemin 1993)

Proof.

This is a direct consequence of the following two facts.

- (i) $\text{res}_0 \zeta(\mathfrak{G}_j) = -\sum_{\iota \in I_0} \text{res}(\mathfrak{G}_j)_\iota(0)$ is independent of the gauge ($j \in \{1, 2\}$).
- (ii) $\zeta(\mathfrak{A}B) = \zeta(B\mathfrak{A})$ holds for any gauge \mathfrak{A} of A because it is true for $\mathfrak{R}(z)$ sufficiently small.

Hence, $\text{res}_0 \zeta(\mathfrak{G}_1) = \text{res}_0 \zeta(\mathfrak{A}B) = \text{res}_0 \zeta(B\mathfrak{A}) = \text{res}_0 \zeta(\mathfrak{G}_2)$.



The (generalized) Kontsevich-Vishik Trace (Kontsevich, Vishik 1994)

Theorem

Let A and B be Fourier Integral Operators. Let \mathfrak{G}_1 and \mathfrak{G}_2 be gauged Fourier Integral Operators with $\mathfrak{G}_1(0) = AB$, $\mathfrak{G}_2(0) = BA$, and $I_0 = \emptyset$. Then,

$$\zeta(\mathfrak{G}_1)(0) = \zeta(\mathfrak{G}_2)(0),$$

i.e., the constant Laurent coefficient of the ζ -function is tracial and $A \mapsto \zeta(\mathfrak{A})(0)$ is a well-defined trace where \mathfrak{A} is any choice of gauge for A with $I_0 = \emptyset$.

The generalized Kontsevich-Vishik Trace

Definition

The generalized Kontsevich-Vishik trace is defined as

$$\mathrm{tr}_{\mathrm{KV}} : \{A \in \mathcal{A}_\Gamma; I_0 = \emptyset\} \subseteq \mathcal{A}_\Gamma \rightarrow \mathbb{C}; A \mapsto \zeta(\mathfrak{A})(0)$$

where \mathfrak{A} is any choice of gauge for A .

- ▶ So far, we assumed amplitudes to be integrable on $X \times B_{\mathbb{R}^N}(0, 1)$.

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- ▶ We need convergence type such that
 - (i) sequence of meromorphic germs converges to a meromorphic germ
 - (ii) local properties are preserved taking limits
- ▶ Compact convergence on a punctured ball $B_{\mathbb{C}}(0, \varepsilon) \setminus \{0\}$ will do!

Suppose $\alpha = \alpha_\iota$, i.e., $\alpha_0 = 0$ and $\#I = 1$. We need to make sense of

$$\int_{(0,1)} r^{\dim M + d_\iota + z} (\ln r)^{l_\iota} dr.$$

Introducing a shift $h \in \mathbb{R}_{>0}$ gives

$$\begin{aligned} A_h &:= \int_{(0,1)} (r+h)^{\dim M + d_\iota + z} (\ln(r+h))^{l_\iota} dr \\ &= \int_{(0,1)} \partial^{l_\iota} (s \mapsto (r+h)^{\dim M + d_\iota + s}) (z) dr \\ &= \partial^{l_\iota} \left(s \mapsto \int_{(0,1)} (r+h)^{\dim M + d_\iota + s} dr \right) (z) \end{aligned}$$

$$\begin{aligned}
 A_h &= \partial^{l_\iota} \left(s \mapsto \frac{(1+h)^{\dim M + d_\iota + s + 1} - h^{\dim M + d_\iota + s + 1}}{\dim M + d_\iota + s + 1} \right) (z) \\
 &= \sum_{j=0}^{l_\iota} \frac{(-1)^j j!}{(\dim M + d_\iota + z + 1)^{j+1}} (1+h)^{\dim M + d_\iota + z + 1} (\ln(1+h))^{l_\iota - j} \\
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$$A_h \rightarrow \frac{(-1)^{l_\iota} l_\iota!}{(\dim M + d_\iota + z + 1)^{l_\iota + 1}} \quad \text{pointwise and locally bounded}$$

Theorem (Vitali)

Let $\Omega \subseteq_{\text{open,connected}} \mathbb{C}$, $f \in C^\omega(\Omega)^\mathbb{N}$ locally bounded, and let

$$\{z \in \Omega; (f_n(z))_{n \in \mathbb{N}} \text{ converges}\}$$

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Corollary

A_h converges compactly to $z \mapsto \frac{(-1)^{l_\iota} l_\iota!}{(\dim M + d_\iota + z + 1)^{l_\iota + 1}}$.

Theorem

$$\sum_{\iota \in I} \int_{(0,1)} (h_\iota + r)^{\dim M + d_\iota + z} (\ln(h_\iota + r))^{l_\iota} dr$$

is compactly convergent for $h := (h_\iota)_{\iota \in I} \in \ell_\infty(I; \mathbb{R}_{>0})$ and $h \searrow 0$ in $\ell_\infty(I)$ such that

$$Z_\iota(z) := l_\iota \sum_{j=0}^{l_\iota} |\zeta_H(l_\iota - j - d_\iota - z; h_\iota) - \zeta_H(l_\iota - j - d_\iota - z; 1 + h_\iota)|$$

is uniformly bounded on an exhausting family of compacta as $h \searrow 0$.

$$\begin{aligned}
 \zeta(\mathfrak{A})(z) = & \sum_{n \in \mathbb{N}_0} \frac{\int_X \int_{B_{\mathbb{R}^N}(0,1)} e^{i\vartheta(x,x,\xi)} \partial^n a(0)(x,x,\xi) \, d\xi \, d\text{vol}_X(x)}{n!} z^n \\
 & + \sum_{n \in \mathbb{N}_0} \sum_{\iota \in I_0} \frac{(-1)^{l_\iota+1} l_\iota! \int_{\Delta(X) \times \partial B_{\mathbb{R}^N}} e^{i\vartheta} \partial^n \tilde{a}_\iota(0) \, d\text{vol}_{\Delta(X) \times \partial B_{\mathbb{R}^N}}}{n!} z^{n-l_\iota-1} \\
 & + \sum_{n \in \mathbb{N}_0} \frac{\int_{\mathbb{R}_{\geq 1} \times \partial B_{\mathbb{R}^N}} \int_X e^{i\vartheta(x,x,\xi)} \partial^n a_0(0)(x,x,\xi) \, d\text{vol}_X(x) \, d\text{vol}_{\mathbb{R}_{\geq 1} \times \partial B_{\mathbb{R}^N}}(\xi)}{n!} z^n \\
 & + \sum_{n \in \mathbb{N}_0} \sum_{\iota \in I \setminus I_0} \sum_{j=0}^n \frac{(-1)^{l_\iota+j+1} (l_\iota+j)! \int_{\Delta(X) \times \partial B_{\mathbb{R}^N}} e^{i\vartheta} \partial^{n-j} \tilde{a}_\iota(0) \, d\text{vol}_{\Delta(X) \times \partial B_{\mathbb{R}^N}}}{n!(N+d_\iota)^{l_\iota+j+1}} z^n
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Example: $|\partial|^z$ on $\mathbb{R}/2\pi\mathbb{Z}$

The operator $|\partial|^z$ has kernel

$$k(z)(x, y) = \sum_{n \in \mathbb{Z}} \int_{\mathbb{R}} e^{i(x-y-2\pi n)\xi} \frac{|\xi|^z}{2\pi} d\xi$$

and spectrum $\sigma(|\partial|^z) = \{|n|^z ; n \in \mathbb{Z}\}$ counting multiplicities.

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$$\mathrm{tr} |\partial|^z = \sum_{n \in \mathbb{Z}} |n|^z \quad \Rightarrow \quad \zeta(s \mapsto |\partial|^s)(z) = 2\zeta_R(-z)$$

where ζ_R denotes the Riemann ζ -function.

Example: Mollifying $|\partial|^z$ on $\mathbb{R}/2\pi\mathbb{Z}$

Let $h \in (0, 1)$. Then, $(h + |\partial|)^z$ has kernel

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$$\mathrm{tr}(h + |\partial|)^z = \sum_{n \in \mathbb{Z}} (h + |n|)^z \Rightarrow \zeta(s \mapsto (h + |\partial|)^s)(z) = \zeta_H(-z; h) + \zeta_H(-z; 1 + h)$$

where ζ_H denotes the Hurwitz ζ -function.

Example: Mollifying $|\partial|^z$ on $\mathbb{R}/2\pi\mathbb{Z}$ - convergence

Theorem

Both $\zeta_H(\cdot; h)$ and $\zeta_H(\cdot; 1+h)$ converge compactly on $\mathbb{C} \setminus \{1\}$ to ζ_R for $h \searrow 0$.

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Theorem

$\zeta(s \mapsto (h + |\partial|)^s)$ converges compactly to $\zeta(s \mapsto |\partial|^s)$ on $\mathbb{C} \setminus \{-1\}$ for $h \searrow 0$.

Let $A \in \mathcal{A}_\Gamma$ and \mathfrak{A} a gauged Fourier Integral Operator with $\mathfrak{A}(0) = A$. Then, we define the generalized Kontsevich-Vishik trace $\text{tr}_{\text{KV}} A$ of A to be the constant Laurent coefficient $c_0(\zeta(\mathfrak{A}), 0)$ of $\zeta(\mathfrak{A})$ in zero, i.e.,

$$\begin{aligned}
 \text{tr}_{\text{KV}} A &= \int_X \text{pv} \int_{B_{\mathbb{R}^N}(0,1)} e^{i\vartheta(x,x,\xi)} a(x,x,\xi) d\xi d\text{vol}_X(x) \\
 &+ \sum_{\iota \in I_0} \frac{(-1)^{l_\iota+1} l_\iota! \int_{\Delta(X) \times \partial B_{\mathbb{R}^N}} e^{i\vartheta} \partial^{l_\iota+1} \tilde{a}_\iota(0) d\text{vol}_{\Delta(X) \times \partial B_{\mathbb{R}^N}}}{(l_\iota + 1)!} \\
 &+ \int_{\mathbb{R}_{\geq 1} \times \partial B_{\mathbb{R}^N}} \int_X e^{i\vartheta(x,x,\xi)} a_0(x,x,\xi) d\text{vol}_X(x) d\text{vol}_{\mathbb{R}_{\geq 1} \times \partial B_{\mathbb{R}^N}}(\xi) \\
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 \end{aligned}$$

Example

For a classical pseudo-differential operators A without critical degree of homogeneity, we observe

$$\begin{aligned}
 & \int_X \text{pv} \int_{B_{\mathbb{R}^N}(0,1)} a_\ell(x, x, \xi) d\xi d\text{vol}_X(x) + \frac{- \int_{X \times \partial B_{\mathbb{R}^N}} \tilde{a}_\ell(x, x, \xi) d\text{vol}_{X \times \partial B_{\mathbb{R}^N}}(x, \xi)}{N + d_\ell} \\
 &= \int_{X \times \partial B_{\mathbb{R}^N}} \tilde{a}_\ell(x, x, \xi) d\text{vol}_{X \times \partial B_{\mathbb{R}^N}}(x, \xi) \lim_{h \searrow 0} \int_h^{1+h} r^{N+d_\ell-1} dt \\
 & \quad - \frac{\int_{X \times \partial B_{\mathbb{R}^N}} \tilde{a}_\ell(x, x, \xi) d\text{vol}_{X \times \partial B_{\mathbb{R}^N}}(x, \xi)}{N + d_\ell} \\
 &= 0
 \end{aligned}$$

Example (continued)

For a classical pseudo-differential operators A without critical degree of homogeneity, we hence obtain

$$\begin{aligned} \mathrm{tr}_{\mathrm{KV}} A &= \int_X \int_{\mathbb{R}^N} e^{i\vartheta(x,x,\xi)} a_0(x,x,\xi) \, d\xi \, d\mathrm{vol}_X(x) \\ &= \int_X \left(k - \sum_{j=1}^J k_{m-j} \right) (x,x) d\mathrm{vol}_X(x) \end{aligned}$$

for any $J \in \mathbb{N}_{>\mathfrak{A}(m)+\dim X}$.

This can fail spectacularly for FIOs

This does not happen for general Fourier Integral Operators. Consider phase $\langle \Theta(x, y), \xi \rangle$ such that $x \mapsto \Theta(x, x) \in C(X)$ has no zeros. Then,

$$\int_X \int_{\mathbb{R}^N} e^{i\langle \Theta(x, x), \xi \rangle} a(x, x, \xi) d\xi d\text{vol}_X(x) = \int_X \mathcal{F}(a(x, x, \cdot))(-\Theta(x, x)) d\text{vol}_X(x)$$

is well-defined. Choose Θ and a such that $x \mapsto \mathcal{F}(a(x, x, \cdot))(-\Theta(x, x))$ is pointwise positive to construct a counterexample.

The critical case - explicitly

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- ▶ Then $Q^0 = 1 - 1_{\{0\}}(Q)$ where $1_{\{0\}}(Q) = \frac{1}{2\pi i} \int_{\partial B_{\mathbb{C}}(0, \varepsilon)} (\lambda - Q)^{-1} d\lambda$ and $B_{\mathbb{C}}[0, \varepsilon] \cap \sigma(Q) = \{0\}$.

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- ▶ For $I_0 = \emptyset$

$$\begin{aligned} \forall k \in \mathbb{N}_0 : \partial^k \zeta(\mathfrak{A})(0) &= \zeta(\partial^k \mathfrak{A})(0) = \text{tr}_{\text{KV}}(B(\ln Q)^k Q^0) \\ &= \text{tr}_{\text{KV}}(B(\ln Q)^k) - \text{tr}_{\text{KV}}(B(\ln Q)^k 1_{\{0\}}(Q)) \end{aligned}$$

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- ▶ For $I_0 \neq \emptyset$: $\text{fp}\mathfrak{A} := \mathfrak{A} - \sum_{\iota \in I_0} \mathfrak{A}_{\iota}$, $\text{fp}\zeta(\mathfrak{A}) := \zeta(\text{fp}\mathfrak{A}) + \sum_{\iota \in I_0} \int_X \int_{B(0,1)} e^{i\vartheta} a_{\iota}$, and $\text{tr}_{\text{fp}}(\cdot) = \text{fp}\zeta(\cdot)(0)$

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 &= \text{tr}_{\text{fp}}(B(\ln Q)^k Q^0) - \frac{1}{k+1} \text{res}(B(\ln Q)^{k+1} Q^0) \\
 &= \text{tr}_{\text{fp}}(B(\ln Q)^k) - \text{tr}_{\text{fp}}(B(\ln Q)^k 1_{\{0\}}(Q)) - \frac{1}{k+1} \text{res}(B(\ln Q)^{k+1}).
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 \end{aligned}$$

- ▶ If Q is invertible and Q' is another invertible and admissible, then

$$c_0(Q) - c_0(Q') = -\text{res}(B(\ln Q - \ln Q'))$$

and since $\zeta(\mathfrak{A})(0) = 0$

$$\text{tr}_{\text{fp}}([B, CQ^z])|_{z=0} = \text{res}([B, C \ln Q]).$$

Theorem (Guillemin)

Let \mathcal{A}_Γ be an algebra of classical Fourier Integral Operators. Then, the residue-trace of $A \in \mathcal{A}_\Gamma$ vanishes if and only if A is a smoothing operator plus a sum of commutators $[P_i, A_i]$ where the P_i are pseudo-differential operators and the $A_i \in \mathcal{A}_\Gamma$.

Theorem (Guillemin)

Let Γ be connected. Then, the commutator of \mathcal{A}_Γ is of co-dimension one in \mathcal{A}_Γ modulo smoothing operators.

These theorems (and the corresponding theorems for smoothing operators) yield the following table assuming that the residue trace $\text{res}_0 \circ \zeta$ is non-trivial and unique, and $\mathcal{A}_\Gamma = \langle \mathfrak{A} \rangle + \langle [\mathcal{A}_\Gamma, \mathcal{A}_\Gamma] \rangle + \{\text{smoothing operators}\}$ for some $\mathfrak{A} \in \mathcal{A}_\Gamma$ with $\text{res}_0 \zeta(\mathfrak{A}) \neq 0$.

$I_0 \neq \emptyset$		$I_0 = \emptyset$	
$\text{res}_0 \zeta(A) \neq 0$	$\text{res}_0 \zeta(A) = 0$	$\zeta(A)(0) \neq 0$	$\zeta(A)(0) = 0$
$A = \alpha \mathfrak{A} + S + \sum_{i=1}^k C_i$ $C_i \in [\mathcal{A}_\Gamma, \mathcal{A}_\Gamma]$ $\alpha = (\text{res}_0 \zeta(\mathfrak{A}))^{-1} \text{res}_0 \zeta(A)$ S smoothing	$A = S + \sum_{i=1}^k C_i$ $C_i \in [\mathcal{A}_\Gamma, \mathcal{A}_\Gamma]$ S smoothing	$A = \sum_{i=1}^k C_i$ C_i commutators	

- ▶ Want to compute $I(x, y, r) := \int_{\partial B_{\mathbb{R}^N}} e^{ir\vartheta(x,y,\xi)} \tilde{a}(x, y, \xi) d\text{vol}_{\partial B_{\mathbb{R}^N}}(\xi)$

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- ▶ $\partial_3 e^{ir\vartheta(x,y,\xi)} = ir e^{ir\vartheta(x,y,\xi)} \partial_3 \vartheta(x, y, \xi) \Rightarrow e^{ir\vartheta} \tilde{a} = \frac{\langle \partial_3 e^{ir\vartheta}, \tilde{a} \partial_3 \vartheta \rangle_{\ell_2(N)}}{ir \|\partial_3 \vartheta\|_{\ell_2(N)}^2}$

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- ▶ Let $\mathcal{D}\tilde{a}(x, y, \xi) := \partial_3^* \frac{\tilde{a}(x,y,\xi) \partial_3 \vartheta(x,y,\xi)}{\|\partial_3 \vartheta(x,y,\xi)\|_{\ell_2(N)}^2}$. Then

$$\forall n \in \mathbb{N} : I(x, y, r) = \frac{1}{(ir)^n} \int_{\partial B_{\mathbb{R}^N}} e^{ir\vartheta(x,y,\xi)} \mathcal{D}^n \tilde{a}(x, y, \xi) d\text{vol}_{\partial B_{\mathbb{R}^N}}(\xi)$$

$$\Rightarrow |I(x, y, r)| \leq \frac{\|\mathcal{D}^n a\|_{L^\infty(X \times X \times \partial B_{\mathbb{R}^N})}}{r^n}$$

- ▶ Hence, kernel off critical manifold: $k = \int_{\mathbb{R}_{>0}} r^{N+d-1} I(\cdot, \cdot, r) dr \in C^\infty$

Lemma (Morse' Lemma)

Let $(x_0, y_0, \xi_0) \in X \times X \times \partial B_{\mathbb{R}^N}$ be stationary ($\partial_{\partial B} \vartheta(x_0, y_0, \xi_0) = 0$) and

$$\partial_{\partial B}^2 \vartheta(x_0, y_0, \xi_0) = \partial_3^2 (\vartheta|_{X \times X \times \partial B_{\mathbb{R}^N}}) (x_0, y_0, \xi_0) \in GL(\mathbb{R}^{N-1}).$$

Then, there are neighborhoods $U \subseteq_{\text{open}} X \times X$ of (x_0, y_0) and $V \subseteq_{\text{open}} \partial B_{\mathbb{R}^N}$ of ξ_0 and a function $\hat{\xi} \in C^\infty(U, V)$ such that

$$\forall (x, y, \xi) \in U \times V : \partial_{\partial B} \vartheta(x, y, \xi) = 0 \iff \xi = \hat{\xi}(x, y).$$

Furthermore, there is a function $\eta \in C^\infty(U \times V, \mathbb{R}^N)$ such that

$$\forall (x, y, \xi) \in U \times V : \eta(x, y, \xi) - (\xi - \hat{\xi}(x, y)) \in O\left(\|\xi - \hat{\xi}(x, y)\|_{\ell_2(N)}^2\right)$$

and $\partial_3 \eta(x, y, \hat{\xi}(x, y)) = 1 = \text{id}_{\mathbb{R}^N}$.

Corollary

Let ϑ be as in Morse' Lemma. Then, stationary points of $\vartheta(x, y, \cdot)$ are isolated in $\partial B_{\mathbb{R}^N}$. In particular, there are only finitely many.

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Hence, we may assume that

$$k(x, y) = \sum_{s=0}^S \int_{\mathbb{R}^N} e^{i\vartheta(x, y, \xi)} a^s(x, y, \xi) d\xi$$

where a^0 has no stationary points in its support and each of the a^s has exactly one branch $(x, y, \hat{\xi}^s(x, y))$ in its support. As we have already treated the a^0 case, we will assume, without loss of generality, that a is of the form of one of the a^s .

- Define $\hat{\vartheta} := \vartheta(x, y, \hat{\xi}(x, y))$, $\Theta(x, y) := \partial_{\partial B}^2 \vartheta(x, y, \hat{\xi}(x, y))$ and $\eta_{\partial B}$ the spherical part of η (polar coordinates). Then, (Corollary of Morse' Lemma Proof)

$$\begin{aligned}
 I(x, y, r) &= \int_{\partial B_{\mathbb{R}^N}} e^{ir\vartheta(x, y, \xi)} a(x, y, \xi) d\text{vol}_{\partial B_{\mathbb{R}^N}}(\xi) \\
 &= e^{ir\hat{\vartheta}} \int_{\partial B_{\mathbb{R}^N}} e^{i\frac{r}{2} \langle \Theta(x, y) \eta_{\partial B}(x, y, \xi), \eta_{\partial B}(x, y, \xi) \rangle_{\mathbb{R}^{N-1}}} a(x, y, \xi) d\text{vol}_{\partial B_{\mathbb{R}^N}}(\xi).
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 \end{aligned}$$

- Let $\sigma : \mathbb{R}^{N-1} \rightarrow \partial B_{\mathbb{R}^N}$ be a stereographic projection with pole $-\hat{\xi}(x, y)$, $\eta_{\sigma}(x, y, \xi) := \eta_{\partial B}(x, y, \sigma(\xi))$, and $a_{\sigma}(x, y, \xi) := a(x, y, \sigma(\xi)) \sqrt{\det(\sigma'(\xi)^* \sigma'(\xi))}$. Then,

$$\begin{aligned}
 I(x, y, r) &= e^{ir\hat{\vartheta}} \int_{\partial B_{\mathbb{R}^N}} e^{i\frac{r}{2} \langle \Theta(x, y) \eta_{\partial B}(x, y, \xi), \eta_{\partial B}(x, y, \xi) \rangle_{\ell_2(N-1)}} a(x, y, \xi) d\text{vol}_{\partial B_{\mathbb{R}^N}}(\xi) \\
 &= e^{ir\hat{\vartheta}} \int_{\mathbb{R}^{N-1}} e^{i\frac{r}{2} \langle \Theta(x, y) \eta_{\sigma}(x, y, \xi), \eta_{\sigma}(x, y, \xi) \rangle_{\ell_2(N-1)}} a_{\sigma}(x, y, \xi) d\xi
 \end{aligned}$$

- ▶ $\partial_3 \eta_\sigma(x, y, \xi) = \partial_3 \eta_{\partial B}(x, y, \sigma(\xi)) \sigma'(\xi)$ and $\partial_3 \eta(x, y, \hat{\xi}(x, y)) = 1 = \text{id}_{\mathbb{R}^N} \Rightarrow \eta_\sigma(x, y, \cdot)$ invertible in neighborhood of $\sigma^{-1}(\hat{\xi}(x, y)) = 0$

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- ▶ Assume a_σ has support in such a neighborhood and define

$$\tilde{a}(x, y, \xi) := a_\sigma(x, y, \eta_\sigma(x, y)^{-1}(\xi)) \sqrt{\det((\eta_\sigma(x, y)^{-1})'(\xi)^* (\eta_\sigma(x, y)^{-1})'(\xi))}.$$

Then

$$I(x, y, r) = e^{ir\hat{\nu}} \int_{\mathbb{R}^{N-1}} e^{i\frac{r}{2} \langle \Theta(x, y) \xi, \xi \rangle_{\ell_2(N-1)}} \tilde{a}(x, y, \xi) d\xi.$$

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Then

$$I(x, y, r) = e^{ir\hat{\nu}} \int_{\mathbb{R}^{N-1}} e^{i\frac{r}{2}\langle \Theta(x, y)\xi, \xi \rangle_{\ell_2(N-1)}} \tilde{a}(x, y, \xi) d\xi.$$

- ▶ $\mathcal{F}\left(\xi \mapsto e^{i\frac{1}{2}\langle r\Theta(x, y)\xi, \xi \rangle}\right)(\xi) = r^{\frac{1-N}{2}} |\det \Theta(x, y)|^{-\frac{1}{2}} e^{\frac{i\pi}{4} \text{sgn}(\Theta(x, y))} e^{-i\frac{1}{2}\langle (r\Theta(x, y))^{-1}\xi, \xi \rangle}$
 where $\text{sgn}(\Theta(x, y))$ is the number of positive eigenvalues minus the number of negative eigenvalues of $\Theta(x, y)$.

$$\begin{aligned}
 \int_{\mathbb{R}^{N-1}} e^{i\frac{r}{2}\langle\Theta\xi,\xi\rangle} \tilde{a}(\xi) d\xi &= \text{const.} \int_{\mathbb{R}^{N-1}} e^{-\frac{i}{2}\langle(r\Theta)^{-1}\xi,\xi\rangle} \mathcal{F}_3 \tilde{a}(\xi) d\xi \\
 &= \text{const.} \sum_{j \in \mathbb{N}_0} \frac{r^{-j}}{j!} \int_{\mathbb{R}^{N-1}} \left(-\frac{i}{2} \langle \Theta^{-1} \xi, \xi \rangle \right)^j \mathcal{F}_3 \tilde{a}(\xi) d\xi \\
 &= \text{const.} \sum_{j \in \mathbb{N}_0} \frac{r^{-j}}{j!} \int_{\mathbb{R}^{N-1}} \mathcal{F}_3 \left(\left(-\frac{i}{2} \langle \Theta^{-1} \partial_3, \partial_3 \rangle \right)^j \tilde{a} \right) (\xi) d\xi
 \end{aligned}$$

and with

$$\int_{\mathbb{R}^n} \mathcal{F} f(\xi) d\xi = \int_{\mathbb{R}^n} e^{i\langle 0, \xi \rangle} \mathcal{F} f(\xi) d\xi = (2\pi)^{\frac{n}{2}} \mathcal{F}^{-1} (\mathcal{F} f) (0) = (2\pi)^{\frac{n}{2}} f(0)$$

we obtain

$$\int_{\mathbb{R}^{N-1}} e^{i\frac{1}{2}\langle r\Theta\xi,\xi\rangle} \tilde{a}(\xi) d\xi = \left(\frac{2\pi}{r} \right)^{\frac{N-1}{2}} |\det \Theta|^{-\frac{1}{2}} e^{\frac{i\pi}{4} \text{sgn} \Theta} \sum_{j \in \mathbb{N}_0} \frac{(-i)^j r^{-j}}{j! 2^j} \langle \Theta^{-1} \partial_3, \partial_3 \rangle^j \tilde{a}(0).$$

Hence, defining

$$h_j(x, y) := \frac{(2\pi)^{\frac{N-1}{2}} |\det \Theta(x, y)|^{-\frac{1}{2}} e^{\frac{i\pi}{4} \operatorname{sgn} \Theta(x, y)}}{j!(2i)^j} \langle \Theta(x, y)^{-1} \partial_3, \partial_3 \rangle^j \tilde{a}(x, y, 0)$$

we obtain

$$k(x, y) = \int_{\mathbb{R}_{>0}} r^{N+d-1} (\ln r)^l \int_{\partial B_{\mathbb{R}^N}} e^{ir\vartheta(x, y, \xi)} a^0(x, y, \xi) d\operatorname{vol}_{\partial B_{\mathbb{R}^N}}(\xi) dr$$

$$+ \sum_{s=1}^S \sum_{j \in \mathbb{N}_0} h_j^s(x, y) \int_{\mathbb{R}_{>0}} r^{d+\frac{N-1}{2}-j} (\ln r)^l e^{ir\hat{\vartheta}^s(x, y)} dr.$$

Remark. The evaluation of $\langle \Theta(x, y)^{-1} \partial_3, \partial_3 \rangle^j \tilde{a}(x, y, \cdot)$ at zero yields an evaluation at $\hat{\xi}(x, y)$ undoing all the changes of variables (stereographic proj. with pole $-\hat{\xi}$).

For $l = 0$:

$$\forall q \in \mathbb{C}_{\Re(\cdot) > -1} \quad \forall s \in \mathbb{C}_{\Re(\cdot) > 0} : \int_{\mathbb{R}_{>0}} t^q e^{-st} dt = \Gamma(q+1) s^{-q-1}$$

and meromorphic extension

$$\int_{\mathbb{R}_{>0}} r^{d + \frac{N-1}{2} - j} e^{ir\hat{\vartheta}^s(x,y)} dr = \Gamma\left(d + \frac{N+1}{2} - j\right) i^{d + \frac{N+1}{2} - j} (\hat{\vartheta}^s(x,y) + i0)^{-d - \frac{N+1}{2} + j}$$

whenever $d + \frac{N+1}{2} - j \in \mathbb{C} \setminus (-\mathbb{N}_0)$ and, for $l \in \mathbb{N}_0$,

$$\begin{aligned} \int_{\mathbb{R}_{>0}} r^q (\ln r)^l e^{ir\hat{\vartheta}^s(x,y)} dr &= \partial^l \left(z \mapsto \int_{\mathbb{R}_{>0}} r^{q+z} e^{ir\hat{\vartheta}^s(x,y)} dr \right) (0) \\ &= \partial^l \left(z \mapsto \Gamma(q+1+z) i^{q+1+z} (\hat{\vartheta}^s(x,y) + i0)^{-q-1-z} \right) (0). \end{aligned}$$

For $c \in \mathbb{R}_{>0}$, $q \in -\mathbb{N}$, and $l \in \mathbb{N}_0$, we obtain (lots and lots of fun with the Laplace transform later)

$$\int_{\mathbb{R}_{>0}} r^q (\ln r)^l e^{-sr} dr \Big|_{s=-i\hat{v}^s(x,y)+0}$$

$$= \partial^l \left(z \mapsto \frac{-\Gamma(z+1)}{2\pi i(-q-1)!} \int_{c+i\mathbb{R}} (-\sigma)^{-q-1} (c_{\ln} + \ln \sigma) (s-\sigma)^{-z-1} d\sigma \right) (0) \Big|_{s=-i\hat{v}^s(x,y)+0} .$$

Theorem

Let all assumptions above be satisfied and

$$g_{j,\ell}^s(x, y) := \begin{cases} \partial^{\ell} \left(z \mapsto \Gamma(q+1+z) i^{q+1+z} (\hat{\vartheta}^s(x, y) + i0)^{-q-1-z} \right) (0) & , q \in \mathbb{C} \setminus (-\mathbb{N}_0) \\ \partial^{\ell} \left(z \mapsto \frac{-\Gamma(z+1)}{2\pi i (-q)!} \int_{c+i\mathbb{R}} \frac{(-\sigma)^{-q} (c_{\ln} + \ln \sigma)}{(-i\hat{\vartheta}^s(x, y) + 0 - \sigma)^{z+1}} d\sigma \right) (0) & , q \in -\mathbb{N}_0 \end{cases}$$

with $q := d_\ell + \frac{N+1}{2} - j$, $c \in \mathbb{R}_{>0}$, and some constant $c_{\ln} \in \mathbb{C}$. Then,

$$k(x, y) = \int_{\mathbb{R}^N} e^{i\vartheta(x, y, \xi)} a^0(x, y, \xi) d\xi + \sum_{\ell \in \tilde{I}} \sum_{s=1}^S \sum_{j \in \mathbb{N}_0} h_{j,\ell}^s(x, y) g_{j,\ell}^s(x, y).$$