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# Torsion and the Dirac operator

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Cortona,  $26^{\text{th}}$  June 2017

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Torsion and the Dirac operator

 $\begin{array}{c} \mbox{The Dirac operator with torsion} \\ \mbox{The Dirac operator of Kostant} \\ \mbox{The action of $D^{Ko}$ on $\Omega^{^*}(G, \mathbf{R})$ \\ \mbox{The operator $\mathfrak{D}_b^X$ } \\ \mbox{Kostant and Dirac} \\ \mbox{Hypoelliptic Laplacian, math, and `physics'} \\ \mbox{References} \end{array}$ 

The classical Dirac operator

- X compact oriented spin Riemannian manifold.
- $S^{TX}(TX, g^{TX})$  spinors,  $\nabla^{S^{TX}}$  LC connection.
- $(E, g^E, \nabla^E)$  Hermitian vector bundle with connection.
- $D^X$  classical Dirac operator acts on  $C^{\infty}(X, S^{TX} \otimes E)$ .
- $\Delta^X$  Bochner Laplacian,  $K^X$  scalar curvature.
- Lichnerowicz formula

$$D^{X,2} = -\Delta^X + \frac{1}{4}K^X + \frac{1}{2}c(e_i)c(e_j)R^E(e_i,e_j).$$

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### The Dirac operator with torsion

- $\nabla_T^{TX}$  metric connection on TX with torsion T.
- $\langle T, \theta \rangle$  assumed to be antisymmetric.
- η = ⟨T ∧ θ⟩ 3-form on X.
  ∇<sup>S<sup>TX</sup></sup><sub>T</sub> connection on S<sup>TX</sup> induced by ∇<sup>TX</sup><sub>T</sub>.
- $D_T^X$  self-adjoint Dirac operator associated with  $\nabla_T^{S^{TX}}$ .

• 
$$D_T^X = D^X + \frac{1}{4}{}^c \eta.$$

•  $\Delta_T^H$  Bochner Laplacian associated with connection with torsion T.

#### Theorem B89

$$D_T^{X,2} = -\Delta_{3T}^H + \frac{K^X}{4} + \frac{1}{4}{}^c \left( d\left\langle T \wedge \theta \right\rangle \right) - \frac{1}{8} \left| T \wedge \theta \right|^2.$$

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The case where  $\langle T \wedge \theta \rangle$  is closed.

$$\bullet D_T^{X,2} = -\Delta_{3T}^H + \frac{K^X}{4} - \frac{1}{8} |T \wedge \theta|^2$$

Theorem B89

The local index theorem holds, with  $\widehat{A}(TX)$  calculated with  $\nabla_{-3T}^{TX}$ .

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The case of a compact Lie group G

- G compact Lie group with Lie algebra  $\mathfrak{g}$ .
- B a G-invariant scalar product on  $\mathfrak{g}$ .
- $TG \simeq \mathfrak{g}$  left-invariant vector fields.
- d trivial connection on TG, T(U, V) = -[U, V].
- $\langle T \wedge \theta \rangle = -B(\theta^2, \theta)$  closed.

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### The Dirac operator of Kostant

- $\kappa^{\mathfrak{g}}(U, V, W) = B([U, V], W) \text{ closed}, \ \kappa^{\mathfrak{g}} = -\frac{1}{3} \langle T \wedge \theta \rangle.$
- $D^{\text{Ko}} = D^G_{T/3}$ .
- $D^{\mathrm{Ko}} = c(e_i) \nabla_{e_i} + \frac{1}{2}c(\kappa^{\mathfrak{g}}).$

Theorem (Kostant)

$$D^{K,2} = -\Delta^G + \frac{1}{24} f_{ijk}^2.$$

#### Proof.

The connection  $\nabla_T^G$  is the canonical trivial connection on  $TG \simeq \mathfrak{g}$ .

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# A reductive group

- G connected reductive group, K maximal compact subgroup.
- $\theta$  Cartan involution, K fixed by  $\theta$ .
- $\mathfrak{g} = \mathfrak{p} \oplus \mathfrak{k}$  Cartan splitting.
- B a G invariant form on  $\mathfrak{g}$ , > 0 on  $\mathfrak{p}$ , < 0 on  $\mathfrak{k}$ .
- X = G/K symmetric space.

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# The case where $G = SL_2(\mathbf{R})$

• 
$$G = \operatorname{SL}_2(\mathbf{R}), \ \theta g = \widetilde{g}^{-1}.$$

• 
$$K = S^1$$
,  $\operatorname{sl}_2(\mathbf{R}) = \mathfrak{p} \oplus \mathfrak{k}$ .

• 
$$B(a,b) = 2\text{Tr}[ab].$$

• X upper half-plane.

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Casimir and Kostant

- $-\Delta^G$  is now the Casimir operator  $C^{\mathfrak{g}}$  (not elliptic).
- We still have a Kostant operator  $D^{\text{Ko}}$  such that

 $D^{\mathrm{Ko},2} = C^{\mathfrak{g}} + c.$ 

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# $\widehat{D}^{\mathrm{Ko}}$ and $C^{\infty}\left(G\right)\otimes\Lambda^{\cdot}\left(\mathfrak{g}^{*}\right)$

- $\widehat{c}(\mathfrak{g})$  acts on  $\Lambda^{\cdot}(\mathfrak{g}^*)$ .
- $\widehat{D}^{\mathrm{Ko}} = \widehat{c}(e_i) e_i + \frac{1}{2} \widehat{c}(-\kappa^{\mathfrak{g}}) \text{ acts on } C^{\infty}(G) \otimes \Lambda^{\cdot}(\mathfrak{g}^*).$

• 
$$\widehat{D}^{\mathrm{Ko},2} = -C^{\mathfrak{g}} - c...$$

- ... analogue of  $(-d_x + d_x^*)^2 = \frac{\partial^2}{\partial x^2}$ .
- $Z = \Gamma \setminus X$  compact quotient.

• Tr 
$$\left[\exp\left(t\left(\Delta^{Z}-c\right)\right)\right]$$
 = Tr  $\left[\exp\left(t\widehat{D}^{\mathrm{Ko},2}\right)\right]$ ...

- ... looks like a McKean-Singer formula ...
- ... but it is not, because Λ<sup>·</sup> (g<sup>\*</sup>) appears in the right hand-side.

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# How to make $\Lambda^{\cdot}(V^*)$ great again

- V vector space.
- $\mathcal{A}(V^*) = \Lambda^{\cdot}(V^*) \otimes S^{\cdot}(V^*).$
- In representation theory,  $\mathcal{A}(V^*) \simeq \mathbf{R}$ .
- $(\mathcal{A}(V^*), d^V)$  de Rham complex of polynomial forms.
- This complex is acyclic, and  $H^0 = \mathbf{R}$ .
- $[d^V, i_Y] = L_Y$ , and  $L_Y = N^{\mathcal{A}(V^*)}$ .
- If  $h^V$  scalar product (positivity can be dropped!),  $i_Y$  is the adjoint of  $d^V$ .
- The above is a Hodge theoretic proof of acyclicity.

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Action of  $\mathfrak{D}_{b}$  on  $C^{\infty}(G) \otimes S(\mathfrak{g}^{*}) \otimes \Lambda^{\cdot}(\mathfrak{g}^{*})$ 

- $d^{\mathfrak{g}} + i_Y$  acts on  $S^{\cdot}(\mathfrak{g}^*) \otimes \Lambda^{\cdot}(\mathfrak{g}^*)$ .
- $\widehat{D}^{\mathrm{Ko}}$  acts on  $C^{\infty}(G) \otimes \Lambda^{\cdot}(\mathfrak{g}^*)$ .

• 
$$\mathfrak{D}_b = \widehat{D}^{\mathrm{Ko}} + \frac{1}{b} \left( d^{\mathfrak{g}} + i_Y \right).$$

- $\mathfrak{D}_b$  acts on  $C^{\infty}(G) \otimes S^{\cdot}(\mathfrak{g}^*) \otimes \Lambda^{\cdot}(\mathfrak{g}^*)$ .
- A spectral sequence argument shows that as  $b \to 0$ ,  $S^{\cdot}(\mathfrak{g}^*) \otimes \Lambda^{\cdot}(\mathfrak{g}^*)$  is replaced by **R**.
- If P projection  $S^{\cdot}(\mathfrak{g}^*) \otimes \Lambda^{\cdot}(\mathfrak{g}^*) \to \mathbf{R}, \ P\widehat{D}^{\mathrm{Ko}}P = 0.$
- $\mathfrak{D}_b$  deforms the operator 0.

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# Quotienting by K

- The above construction is invariant by K.
- $\mathfrak{D}_b^X$  acts on  $[C^{\infty}(G) \otimes S^{\cdot}(\mathfrak{g}^*) \otimes \Lambda^{\cdot}(\mathfrak{g}^*)]^K$ .
- $\mathfrak{g} = \mathfrak{p} \oplus \mathfrak{k}$  descends to  $TX \oplus N$ .
- $\mathfrak{D}_b^X$  acts on  $C^{\infty}(X, S^{\cdot}(T^*X \oplus N^*) \otimes \Lambda^{\cdot}(T^*X \oplus N^*)).$

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# The Bargmann isomorphism

- V Euclidean vector space.
- $B: \overline{S}(V^*) \simeq L_2(V)$  isomorphisms of Hilbert spaces.
- *B* depends explicitly on the metric.

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The geometric action of  $\mathfrak{D}_b^X$ 

- $\mathfrak{D}_b^X$  acts on  $C^{\infty}(X, S^{\cdot}(T^*X \oplus N^*) \otimes \Lambda^{\cdot}(T^*X \oplus N^*)).$
- $\mathfrak{D}_b^X$  on  $C^{\infty}(X, L_2(TX \oplus N) \otimes \Lambda^{\cdot}(T^*X \oplus N^*))$ .
- $\widehat{\mathcal{X}}$  total space of  $TX \oplus N$ .
- $\mathfrak{D}_b^X$  acts on  $C^{\infty}\left(\widehat{\mathcal{X}}, \widehat{\pi}^*\left(\Lambda^{\cdot}\left(T^*X \oplus N^*\right)\right)\right).$

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The explicit form of  $\mathfrak{D}_{h}^{X}$ 

$$\mathfrak{D}_{b}^{X} = \widehat{D}^{\mathrm{Ko}} + \underbrace{ic\left(\left[Y^{N}, Y^{TX}\right]\right)}_{\mathrm{mystery}} + \frac{1}{b}\underbrace{\left(d^{\mathfrak{p}} + Y^{\mathfrak{p}} \wedge + d^{\mathfrak{p}*} + i_{Y^{\mathfrak{p}}}\right)}_{\mathrm{Witten}} + \frac{\sqrt{-1}}{b}\left(-d^{\mathfrak{k}} - Y^{\mathfrak{k}} \wedge + d^{\mathfrak{k}*} + i_{Y^{\mathfrak{k}}}\right).$$

- *P* orthogonal projection on ker  $(d^{\mathfrak{p}} + \ldots)$ .  $P\left(\widehat{D}^{K_0} + ic\left([Y^N, Y^{TX}]\right)\right)P = 0$ .  $\mathfrak{D}_b^X$  deforms the operator 0.

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The operator  $\mathcal{L}_b^X$ 

• 
$$\mathcal{L}_b^X = \frac{1}{2} \left( -\widehat{D}^{\text{Ko},2} + \mathfrak{D}_b^{X,2} \right)$$
 acts on  
 $C^{\infty} \left( \widehat{\mathcal{X}}, \widehat{\pi}^* \Lambda^{\cdot} \left( T^* X \oplus N^* \right) \right).$   
•  $\mathcal{L}_b^X$  deforms  $\frac{1}{2} \left( -\Delta^X + c \right).$ 

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# A formula for $\mathcal{L}_b^X$

 $\theta$  Cartan involution =  $\pm 1$  on N, TX.

$$\mathcal{L}_{b}^{X} = \frac{1}{2} \frac{\left| \left[ Y^{N}, Y^{TX} \right] \right|^{2}}{\left[ \operatorname{quartic term} \right]^{2}} + \underbrace{\frac{1}{2b^{2}} \left( -\Delta^{TX \oplus N} + |Y|^{2} - n \right)}_{\operatorname{Harmonic oscillator of } TX \oplus N} + \frac{N^{\Lambda^{\cdot}(T^{*}X \oplus N^{*})}}{b^{2}} + \frac{1}{b} \left( \underbrace{\nabla_{Y^{TX}}}_{\operatorname{geodesic flow}} + \widehat{c} \left( \operatorname{ad} \left( Y^{TX} \right) \right) - c \left( \operatorname{ad} \left( Y^{TX} \right) + i\theta \operatorname{ad} \left( Y^{N} \right) \right) \right).$$

#### Remark

By Hörmander,  $\frac{\partial}{\partial t} + \mathcal{L}_b^X$  is hypoelliptic.

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## A fundamental identity

#### Theorem B11

If 
$$Z = \Gamma \setminus X$$
 compact quotient, for  $t > 0, b > 0$ ,

$$\operatorname{Tr}^{C^{\infty}(Z,\mathbf{R})}\left[\exp\left(t\left(\Delta^{Z}-c\right)/2\right)\right]=\operatorname{Tr}_{s}\left[\exp\left(-t\mathcal{L}_{b}^{Z}\right)\right].$$

Proof

Limit as 
$$b \to 0$$
, Bianchi identity  
 $\left[\mathfrak{D}_{b}^{Z}, \mathcal{L}_{b}^{Z}\right] = \left[\mathfrak{D}_{b}^{Z}, \left(\mathfrak{D}_{b}^{Z,2} + C^{\mathfrak{g}}\right)/2\right] = 0$ , combined with  
 $\frac{\partial}{\partial b} \operatorname{Tr}_{s}\left[\exp\left(-t\mathcal{L}_{b}^{Z}\right)\right] = -\frac{t}{2} \operatorname{Tr}_{s}\left[\left[\mathfrak{D}_{b}^{Z}, \frac{\partial}{\partial b}\mathfrak{D}_{b}^{Z}\exp\left(-t\mathcal{L}_{b}^{Z}\right)\right]\right] = 0.$ 

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# Splitting the identity

- The identity splits as identity of orbital integrals...
- 2 ... which are contributions of the conjugacy classes of  $\Gamma = \pi_1(Z)$ .

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Semisimple orbital integrals

- $\gamma \in G$  semisimple,  $[\gamma]$  conjugacy class.
- For t > 0,  $I([\gamma]) = \operatorname{Tr}^{[\gamma]} \left[ \exp\left(t\left(\Delta^X c\right)/2\right) \right]$  orbital integral of heat kernel on orbit of  $\gamma$ :

$$I\left([\gamma]\right) = \int_{Z(\gamma)\backslash G} p_t^X\left(g^{-1}\gamma g\right) dg.$$

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### The minimizing set

• $X(\gamma) \subset X$  minimizing set for the convex displacement function  $d(x, \gamma x)$ .

• $X(\gamma) \subset X$  totally geodesic symmetric space for the centralizer  $Z(\gamma)$ .

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### Geometric form of the orbital integral



$$p_t^X(x, x') \le C \exp(-C' d^2(x, x')).$$

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The heat kernel for  $\mathcal{L}_b^X$ 

 $\exp\left(-t\mathcal{L}_{b}^{X}\right)$  has a heat kernel  $q_{b,t}^{X}\left(\left(x,Y\right),\left(x',Y'\right)\right)$ .

Theorem (B2011)

• For  $b \in ]0, M]$ , t > 0 fixed,

$$\begin{aligned} \left| q_{b,t}^{X} \left( \left( x, Y \right), \left( x', Y' \right) \right) \right| \\ &\leq C \exp \left( -C' \left( d^{2} \left( x, x' \right) + |Y|^{2} + |Y'|^{2} \right) \right), \\ &q_{b,t}^{X} \left( \left( x, Y \right), \left( x', Y' \right) \right) \xrightarrow{b \to 0} \\ &\mathbf{P} p_{t}^{X} \left( x, x' \right) \pi^{-\dim \mathfrak{g}/2} \exp \left( \frac{1}{2} \left( |Y|^{2} + |Y'|^{2} \right) \right) \mathbf{P} \end{aligned}$$

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## A second fundamental identity

#### Theorem B2011

For b > 0, t > 0,

$$\operatorname{Tr}^{[\gamma]}\left[\exp\left(t\left(\Delta^{X}-c\right)/2\right)\right]=\operatorname{Tr}_{\mathrm{s}}^{[\gamma]}\left[\exp\left(-t\mathcal{L}_{b}^{X}\right)\right].$$

#### Remark

The proof uses the fact that  $\text{Tr}^{[\gamma]}$  is a trace on the algebra of *G*-invariants smooth kernels on *X* with Gaussian decay.

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The limit as  $b \to +\infty$ 

- After rescaling of  $Y^{TX}, Y^N$ , as  $b \to +\infty$ ,  $\mathcal{L}_b \simeq \frac{b^4}{2} \left| \left[ Y^N, Y^{TX} \right] \right|^2 + \frac{1}{2} \left| Y \right|^2 - \underbrace{\nabla_{Y^{TX}}}_{\text{geodesic flow}}.$
- As  $b \to +\infty$ , the orbital integral localizes near  $X(\gamma)$  exactly like in Lefschetz formulas.
- $\gamma = e^a k^{-1}, a \in \mathfrak{p}, k \in K, \operatorname{Ad}(k) a = a.$
- $Z(\gamma)$  centralizer of  $\gamma$ ,  $\mathfrak{z}(\gamma) = \mathfrak{p}(\gamma) \oplus \mathfrak{k}(\gamma)$  Lie algebra of  $Z(\gamma)$ .

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Semisimple orbital integrals

#### Theorem (B. 2011)

There is an explicit function  $J_{\gamma}\left(Y_{0}^{\mathfrak{k}}\right), Y_{0}^{\mathfrak{k}} \in \mathfrak{k}(\gamma)$ , such that

$$\operatorname{Tr}^{[\gamma]}\left[\exp\left(t\left(\Delta^{Z}-c\right)/2\right)\right] = \frac{\exp\left(-\left|a\right|^{2}/2t\right)}{\left(2\pi t\right)^{p/2}}$$
$$\int_{\mathfrak{k}(\gamma)} J_{\gamma}\left(Y_{0}^{\mathfrak{k}}\right) \operatorname{Tr}^{E}\left[\rho^{E}\left(k^{-1}\right)\exp\left(-i\rho^{E}\left(Y_{0}^{\mathfrak{k}}\right)\right)\right]$$
$$\exp\left(-\left|Y_{0}^{\mathfrak{k}}\right|^{2}/2t\right) \frac{dY_{0}^{\mathfrak{k}}}{\left(2\pi t\right)^{q/2}}.$$

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# The function $J_{\gamma}\left(Y_{0}\right), Y_{0}^{\mathfrak{k}} \in \mathfrak{k}\left(\gamma\right)$

#### Definition

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$$J_{\gamma}\left(Y_{0}^{\mathfrak{k}}\right) = \frac{1}{\left|\det\left(1 - \operatorname{Ad}\left(\gamma\right)\right)\right|_{\mathfrak{z}_{0}^{\perp}}\right|^{1/2}} \frac{\widehat{A}\left(\operatorname{iad}\left(Y_{0}^{\mathfrak{k}}\right)|_{\mathfrak{p}(\gamma)}\right)}{\widehat{A}\left(\operatorname{iad}\left(Y_{0}^{\mathfrak{k}}\right)_{\mathfrak{k}(\gamma)}\right)} \\ \left[\frac{1}{\det\left(1 - \operatorname{Ad}\left(k^{-1}\right)\right)|_{\mathfrak{z}_{0}^{\perp}}(\gamma)}}{\frac{\det\left(1 - \exp\left(-\operatorname{iad}\left(Y_{0}^{\mathfrak{k}}\right)\right)\operatorname{Ad}\left(k^{-1}\right)\right)|_{\mathfrak{s}_{0}^{\perp}}(\gamma)}{\det\left(1 - \exp\left(-\operatorname{iad}\left(Y_{0}^{\mathfrak{k}}\right)\right)\operatorname{Ad}\left(k^{-1}\right)\right)|_{\mathfrak{s}_{0}^{\perp}}(\gamma)}}\right]^{1/2}.$$
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The formula of Atiyah-Bott

• Compare with fixed point formulas by Atiyah-Bott

$$L(g) = \int_{X_g} \widehat{A}_g(TX) \operatorname{ch}_g(E).$$

• We ultimately compute the trace of any heat kernel, and not 'only' the index of a Dirac operator, and this by a 'local' formula.

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 $D^{\mathrm{Ko}}$  and  $D^X$ 

- Recall that  $D^{\text{Ko},2} = C^{\mathfrak{g}} + c$ .
- $\bullet D^{\rm Ko}$  splits as the sum of two commuting pieces

$$D_{H}^{\text{Ko}} = \sum_{1}^{m} c(e_{i}) e_{i},$$
  
$$D_{V}^{\text{Ko}} = -\sum_{m+1}^{m+n} c(e_{i}) (e_{i} + c(\operatorname{ad}(e_{i})|_{\mathfrak{p}})) + \frac{1}{2}c(\kappa^{\mathfrak{k}}).$$

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# The fibration $G \to X$



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The descent of  $D^{\text{Ko}}$  to  $D^X$ 

- For eta invariant of  $D^X$ , Casimir not enough.
- Assume K to be simply connected.
- Then  $D^{\text{Ko}}$  descends to  $D^X + \frac{1}{2}c(\kappa^{\mathfrak{k}})$  acting on  $C^{\infty}(X, S^{TX} \otimes \Lambda^{\cdot}(N^{*})).$
- Before,  $D^{\text{Ko}}$  was acting on  $C^{\infty}(X, S^{\cdot}(T^*X \oplus N^*) \otimes \Lambda^{\cdot}(T^*X \oplus N^*)).$
- We have to combine the action of c
   <sup>c</sup>(g<sup>\*</sup>) on Λ<sup>·</sup>(g<sup>\*</sup>) and on S<sup>p</sup>.

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# An action of SO (2)

- $\mathfrak{g} = \mathfrak{p} \oplus \mathfrak{k}$  .
- Introduce another copy  $\overline{\mathfrak{p}}$  of  $\mathfrak{p}$ , so that  $\mathfrak{g} \oplus \overline{\mathfrak{p}} = \mathfrak{p} \oplus \overline{\mathfrak{p}} \oplus \mathfrak{k}$ .
- SO (2) acts by rotations on  $\mathfrak{p} \oplus \overline{\mathfrak{p}}$ .
- If  $e \in \mathfrak{p}$ ,  $R_{\vartheta}\widehat{c}(e) R_{\vartheta}^{-1} = \cos(\vartheta)\widehat{c}(e) + \sin(\vartheta)\widehat{c}(\overline{e})$ .

• 
$$\widehat{D}_{\vartheta}^{\mathrm{Ko}} = R_{\vartheta} \widehat{D}^{\mathrm{Ko}} R_{\vartheta}^{-1}.$$

• 
$$\widehat{D}_{\vartheta}^{\mathrm{Ko}} = \sin\left(\vartheta\right)\widehat{D}^{X} + \dots$$

• Ultimately, we can recover results of Moscovici-Stanton on geometric evaluation of eta invariants on locally symmetric spaces.

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The results of Shu Shen on analytic torsion

Using the above geometric formulas for orbital integrals, Shu Shen was able to complete the results of Moscovici-Stanton on the Fried conjecture for analytic torsion on locally symmetric spaces.

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# Geodesic flow and Fourier transform

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# Exterior algebra and symmetric algebra

- Exterior algebra  $\Lambda^{\cdot}(T^*X)$  in de Rham  $(\Omega^{\cdot}(X), d^X)$ .
- Symmetric (polynomial) algebra  $S^{\cdot}(T^*X)$  is less popular.
- Introducing  $S^{\cdot}(T^*X)$  restores supersymmetry.
- If  $g^{TX}$  Riemannian metric,  $\overline{S}(T^*X)$  is  $L_2$  space for fibrewise Gaussian measure.
- If  $a_i = \frac{1}{\sqrt{2}} \left( \frac{\partial}{\partial Y^i} + Y^i \right), a_i^* = \frac{1}{\sqrt{2}} \left( -\frac{\partial}{\partial Y^i} + Y^i \right)$ annihilation, creation operators, geodesic flow

$$Z = Y^i \frac{\partial}{\partial x^i} = \frac{1}{\sqrt{2}} \left( a_i + a_i^* \right) \frac{\partial}{\partial x^i}$$

• Z Bosonic Dirac operator.

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