

# Disconnecting the $G_2$ moduli space

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Joint work in progress with  
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C-N, *New invariants of  $G_2$ -structures*, *Geom. Topol.* 19 (2015)  
C-G-N, *An analytic invariant of  $G_2$ -manifolds*, arXiv:1505.02734

These slides available at  
<http://people.bath.ac.uk/jl1pn20/disconnect.pdf>

# The $G_2$ moduli space

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Let  $M$  be a smooth closed 7-manifold admitting metrics with holonomy  $G_2$ .  
The moduli space

$$\mathcal{M} := \{\text{Holonomy } G_2 \text{ metrics on } M\} / \text{Diff}(M)$$

is an orbifold, locally homeomorphic to finite quotients of  $H_{dR}^3(M)$ .  
So far little is known about the *global* properties of  $\mathcal{M}$ .

## Main results:

Exhibit examples of closed  $G_2$ -manifolds with  $\mathcal{M}$  disconnected, both

- by studying homotopies of  $G_2$ -structures, and
- where the  $G_2$ -structures are indistinguishable using homotopy theory

## Outline:

1. Background and examples
2. Invariants of  $G_2$ -structures
3. Constructions
4. Computation

# 1. Background and examples

## The group $G_2$

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$G_2 := \text{Aut } \mathbb{O}$ ,  $\mathbb{O}$  = octonions, normed division algebra of real dimension 8.

$G_2$  acts on  $\text{Im } \mathbb{O} \cong \mathbb{R}^7$ , preserving metric, orientation, cross product

$$a \times b := \text{Im}(ab), \text{ and}$$

$$\varphi_0(a, b, c) := \langle a \times b, c \rangle.$$

In terms of basis  $e^1, \dots, e^7 \in (\mathbb{R}^7)^*$

$$\varphi_0 = e^{123} + e^{145} + e^{167} + e^{246} - e^{257} - e^{347} - e^{356} \in \Lambda^3(\mathbb{R}^7)^*.$$

Peculiar algebra facts:

- $G_2$  is not just contained in stabiliser of  $\varphi_0$  in  $GL(7, \mathbb{R})$ , but equality holds.
- The  $GL(7, \mathbb{R})$ -orbit of  $\varphi_0$  is open in  $\Lambda^3(\mathbb{R}^7)^*$ .

## $G_2$ -structures and holonomy

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$G_2$  is an exceptional case in Berger's list of Riemannian holonomy groups.

A metric with holonomy  $G_2$  is always Ricci-flat.

Parallel tensor fields on Riemannian manifold  $M \leftrightarrow$  invariants of  $Hol(M)$ .

A 3-form  $\varphi \in \Omega^3(M^7)$  such that  $(T_x M, \varphi) \cong (\mathbb{R}^7, \varphi_0)$  for all  $x \in M$  defines a  $G_2$ -structure. (This is an *open* condition on  $\varphi$ )

Because  $G_2 \subset SO(7)$ , this induces a metric and orientation.

$Hol(M) \subseteq G_2 \Leftrightarrow$  metric induced by some  $G_2$ -structure  $\varphi$  such that  $\nabla\varphi = 0$ .  
Then call  $\varphi$  *torsion-free*. This is equivalent to the first-order non-linear PDE

$$d\varphi = d^*\varphi = 0.$$

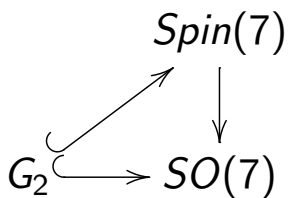
[Bryant \(1985\)](#): Local examples

[Bryant-Salamon \(1987\)](#): Complete examples

[Joyce \(1994\)](#): Examples on closed manifolds

## Two perspectives on $G_2$ -structures

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The spin representation  $\Delta$  of  $Spin(7)$  is real of rank 8.  
 $Spin(7)$  acts transitively on  $S^7 \subset \Delta$  with stabiliser  $G_2$ .

$G_2$	=	stabiliser in $GL(7, \mathbb{R})$ of $\varphi_0 \in \Lambda^3(\mathbb{R}^7)^*$	=	stabiliser in $Spin(7)$ of a unit spinor $s_0$
$G_2$ -structure on $M^7$	$\Leftrightarrow$	positive $\varphi \in \Omega^3(M)$	$\Leftrightarrow$	metric $g$ + spin structure + unit spinor field $s$
Holonomy $\subseteq G_2$	$\Leftrightarrow$	$d\varphi = d^*\varphi = 0$	$\Leftrightarrow$	$\nabla s = 0$
Useful for		differential geometry		homotopy theory

## Homotopies of $G_2$ -structures

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Let  $M$  be a closed 7-dimensional spin manifold.

Given a metric  $g$ , the spinor bundle  $SM$  is a real vector bundle of rank 8. Two  $G_2$ -structures inducing the same metric and spin structure are homotopic if the corresponding unit spinors can be connected by a path of non-vanishing spinors.

All metrics on  $M$  are homotopic, so if we fix the spin structure

$$\left\{ \begin{array}{l} \text{Homotopy classes of} \\ G_2\text{-structures on } M \end{array} \right\} \leftrightarrow \left\{ \begin{array}{l} \text{Homotopy classes of non-} \\ \text{vanishing sections of } SM \end{array} \right\} \leftrightarrow \mathbb{Z}$$

by counting (with signs) the zeros of an interpolating section of a rank 8 bundle on  $M \times [0, 1]$ .

$\text{Diff}(M)$  can act by non-trivial translations.

Each component of the  $G_2$  moduli space  $\mathcal{M}$  maps to a fixed class of  $G_2$ -structures modulo homotopies *and* diffeomorphisms.

## Classification of 2-connected manifolds

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Let  $M$  be a closed smooth 7-manifold with  $\pi_1(M) = \pi_2(M) = 0$  and  $H^4(M)$  torsion-free. Remaining algebraic topology captured by  $b_3(M)$ .

Let  $d(M) :=$  greatest integer dividing  $\frac{1}{2}p_1(M) \in H^4(M)$   
( $d(M) := 0$  if  $p_1(M) = 0$ ).

### Theorem (Wilkins, 1972)

Such  $M$  are classified up to homeomorphism by  $(b_3(M), d(M)) \in \mathbb{N} \times 2\mathbb{N}$ .  
The number of inequivalent smooth structures on the topological manifold underlying  $M$  is

$$\text{GCD}(28, \text{Numerator}\left(\frac{d(M)}{4}\right)).$$

### Theorem (C-N)

The number of  $G_2$ -structures up to homotopy+diffeomorphism on such  $M$  is

$$24 \text{ Numerator}\left(\frac{d(M)}{112}\right).$$

## A 2-connected example

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### Example (C-G-N)

Let  $M$  be the unique smooth closed 2-connected 7-manifold with  $H^4(M) = \mathbb{Z}^{97}$  and  $d = 2$ .

There are  $G_2$  metrics  $g_1, g_2, g_3$  on  $M$  such that

- A** the  $G_2$ -structures  $\varphi_1, \varphi_2$  associated to  $g_1$  and  $g_2$  are not equivalent under homotopies and diffeomorphisms; thus  $g_1$  and  $g_2$  are in different components of the  $G_2$  moduli space  $\mathcal{M}$
- B** the  $G_2$ -structures  $\varphi_1$  and  $\varphi_3$  are homotopic, but nevertheless  $g_1$  and  $g_3$  lie in different components of  $\mathcal{M}$ .

So for this manifold, the moduli space  $\mathcal{M}$  has at least 3 connected components.



# Ingredients

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## *Invariants*

- A** The  $G_2$ -structures are distinguished by a homotopy and diffeomorphism invariant  $\nu(\varphi) \in \mathbb{Z}/48\mathbb{Z}$ .
- B** An analytic refinement  $\widehat{\nu}(\varphi) \in \mathbb{Z}$  of  $\nu(\varphi)$  is invariant under diffeomorphisms and under deformations through torsion-free  $G_2$ -structures (but not under arbitrary homotopies), and can distinguish components of  $\mathcal{M}$  even when the  $G_2$ -structures are homotopic.

## *Construction*

The “twisted connected sum construction” of Kovalev and Corti-Haskins-N-Pacini produces large numbers of 2-connected  $G_2$ -manifolds for which the invariants can be evaluated.

A more complicated version produces some 2-connected examples where  $\widehat{\nu}$  takes a range of values.

## 2. Invariants of $G_2$ -structures

### The homotopy invariant

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Let  $X$  closed spin 8-manifold, and  $n(X)$  the signed count of zeros of a transverse positive spinor field ( $\Leftrightarrow$  Euler class of rank 8 bundle  $S^+X$ ). Atiyah-Singer index theorem +  $Spin(8)$  characteristic class computation

$$\rightsquigarrow -48 \operatorname{ind} D_X^+ = \chi(X) - 3\sigma(X) - 2n(X). \quad (*)$$

Let  $W$  be a compact spin 8-manifold with boundary  $M$ ,  $s$  a transverse positive spinor field on  $W$ , and  $\varphi$  the  $G_2$ -structure on  $M$  induced by  $s|_M$ . Let  $n(W, \varphi)$  be the signed count of zeros of  $s$ . (\*) implies that

$$\nu(\varphi) := \chi(W) - 3\sigma(W) - 2n(W, \varphi) \pmod{48}$$

is independent of choice of coboundary  $W$ .

On a fixed  $M$ ,  $\nu$  takes the 24 values allowed by  $\nu(\varphi) = \sum_{i=0}^3 b_i(M) \pmod{2}$ .

If  $M$  is 2-connected with  $H^4(M)$  torsion-free and  $d$  a divisor of 112, then  $\nu$  distinguishes all classes.

## Analytic invariant of $G_2$ -structures

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Given a metric on a closed spin  $M^7$ , define

$D =$  Dirac operator

$B : \Omega^{\text{ev}} \rightarrow \Omega^{\text{ev}} =$  odd signature operator,  $(-1)^k(*d - d*)$  on  $\Omega^{2k}$

$h(D) = \dim \ker(D) \in \mathbb{Z}$

$\eta(D) := \eta(D, 0) \in \mathbb{R}$ , defined by analytic continuation from

$$\eta(D, s) := \sum_{\lambda \in \text{Spec} D \setminus \{0\}} (\text{sign} \lambda) |\lambda|^{-s} \quad \text{for } \text{Re } s \gg 0.$$

For a  $G_2$ -structure  $\varphi$  on  $M$ , define  $MQ(\varphi) \in \mathbb{R}$  in terms of Mathai-Quillen current.

### Definition

$$\hat{\nu}_0(\varphi) := -24\eta(D) + 3\eta(B) + 2MQ(\varphi) \in \mathbb{R}$$

$$\hat{\nu}(\varphi) := \hat{\nu}_0(\varphi) - 24h(D) \in \mathbb{R}$$

## Analytic invariant as refinement

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$$\widehat{\nu}_0(\varphi) := -24\eta(D) + 3\eta(B) + 2MQ(\varphi) \in \mathbb{R}$$

Reversing orientation changes the sign of  $\widehat{\nu}_0$ .

All terms are continuous in  $\varphi$ , except that the first jumps by 24 when an eigenvalue of  $D$  changes between zero and non-zero.

$$\widehat{\nu}(\varphi) := \widehat{\nu}_0(\varphi) - 24h(D) \in \mathbb{R}$$

$\widehat{\nu}$  is continuous in  $\varphi$  except for jumps by 48.

### Theorem (C-G-N)

Let  $\varphi$  be  $G_2$ -structure on a closed  $M^7$ . Then

$$\nu(\varphi) = \widehat{\nu}(\varphi) \pmod{48}.$$

(In particular  $\widehat{\nu}, \widehat{\nu}_0 \in \mathbb{Z}$ .)

## Analytic invariant as refinement

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$$\widehat{\nu}(\varphi) := -24(\eta + h)(D) + 3\eta(B) + 2MQ(\varphi) \in \mathbb{R}$$

$$\nu(\varphi) := \chi(W) - 3\sigma(W) - 2n(W, \varphi) \in \mathbb{Z}/48\mathbb{Z}.$$

### Proof.

For  $\partial W = M$  with metric that is product on collar of  $M$

$$\begin{aligned} \sigma(W) &= \int_W L(\nabla) && - \eta(B) \\ \text{ind } D_W^+ &= \int_W \widehat{A}(\nabla) && - \frac{1}{2}(\eta + h)(D) \\ n(W, \varphi) &= \int_W e_+(\nabla) && - MQ(\varphi) \end{aligned}$$

Chern-Weil term      boundary correction

Linear combination of Chern-Weil terms gives  $\int_W e(\nabla) = \chi(W)$  (essentially by characteristic class formula (\*) used to show that  $\nu$  is well-defined), so

$$\widehat{\nu}(\varphi) = \chi(W) - 3\sigma(W) - 2n(W, \varphi) + 48 \text{ind } D_W^+ \in \mathbb{Z} . \quad \square$$

## Analytic invariant of torsion-free $G_2$ -structures

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$$\widehat{\nu}_0(\varphi) := -24\eta(D) + 3\eta(B) + 2MQ(\varphi) \in \mathbb{Z}$$

$$\widehat{\nu}(\varphi) := \widehat{\nu}_0(\varphi) - 24h(D) \in \mathbb{Z}$$

For torsion-free  $\varphi$

- $MQ(\varphi) = 0$
- $h(D) = 1 + b_1(M)$  (so 1 when  $Hol = G_2$ )
- $\eta(D)$  does not jump

Therefore  $\widehat{\nu}_0$  and  $\widehat{\nu}$  are constant on connected components of  $\mathcal{M}$ , and can distinguish components even when the associated  $G_2$ -structures are homotopic.

Even if we are only interested in  $\nu$ , it may be easier to evaluate the intrinsic formula for  $\widehat{\nu}$  than to find a spin coboundary to compute  $\nu$ .

Similarities with e.g. the use of Donnelly's analytic refinement of the Eells–Kuiper invariant by Kreck–Stolz and Goette–Kitchloo–Shankar.

## 3. Constructions

### $G_2$ and $SU(3)$

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The action of  $SU(3)$  on  $\mathbb{C}^3 \cong \mathbb{R}^6$  preserves

$$\begin{aligned}\omega_0 &:= \frac{i}{2}(dz^1 \wedge d\bar{z}^1 + dz^2 \wedge d\bar{z}^2 + dz^3 \wedge d\bar{z}^3) \in \Lambda^2(\mathbb{R}^6)^* \\ \Omega_0 &:= dz^1 \wedge dz^2 \wedge dz^3 \in \Lambda^3(\mathbb{R}^6)^* \otimes \mathbb{C}\end{aligned}$$

On  $\mathbb{R}^7 = \mathbb{R} \oplus \mathbb{C}^3$ ,

$$e^1 \wedge \omega_0 + \operatorname{Re} \Omega_0 \cong e^1 \wedge (e^{23} + e^{45} + e^{67}) + e^{246} - e^{257} - e^{347} - e^{356} = \varphi_0,$$

the 3-form preserved by  $G_2$ .

The stabiliser in  $G_2$  of a non-zero vector is  $SU(3)$ .

If  $X$  is a Calabi-Yau 3-fold (6-manifold with  $\operatorname{Hol}(X) = SU(3)$ ) then  $\operatorname{Hol}(S^1 \times X) = SU(3) \subset G_2$ , so  $S^1 \times X$  has a torsion-free  $G_2$ -structure.

But we are more interested in manifolds with full holonomy  $G_2$ .

#### Proposition (Joyce)

If  $M^7$  is closed and  $\operatorname{Hol}(M) \subseteq G_2$  then

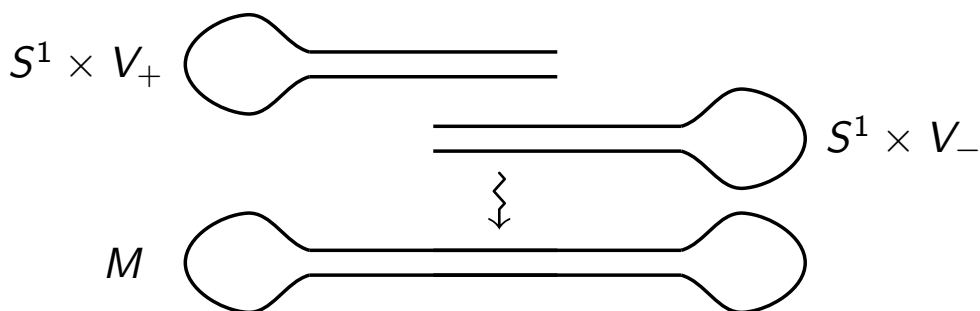
$$\operatorname{Hol}(M) = G_2 \Leftrightarrow \pi_1(M) \text{ finite}$$

## Twisted connected sums

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Donaldson, Kovalev, Corti-Haskins-N-Pacini

- Construct simply-connected, complete Calabi-Yau 3-folds  $V$ , with “asymptotically cylindrical end”  $\mathbb{R} \times S^1 \times K3$ .
- $\text{Hol}(S^1 \times V) = \text{SU}(3) \subset G_2$ , so  $S^1 \times V$  has torsion-free  $G_2$ -structure
- Find pairs of such  $V_{\pm}$ , with a diffeomorphism  $F$  of the cylindrical ends of  $S^1 \times V_+$  and  $S^1 \times V_-$  ensuring
  - Gluing  $G_2$ -structures on the halves with “neck length”  $T \gg 0$  defines  $\varphi_T$  on  $M$  with  $\nabla\varphi_T$  exponentially small in  $T$ .
  - $M = S^1 \times V_+ \cup_F S^1 \times V_-$  is simply-connected ( $F$  is “twisted”)



- Perturb to  $\varphi_T$  so that  $d\varphi_T = d^*\varphi_T = 0$ . Then  $\text{Hol}(M) = G_2$ .



## Matching

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The ACyl end of  $S^1 \times V_{\pm}$  is  $\mathbb{R} \times S^1 \times S^1 \times K3_{\pm} \cong \mathbb{R} \times T_{\pm}^2 \times K3_{\pm}$ .

Glue the cylindrical ends using a product isometry

$$F := (-1) \times m \times r : \mathbb{R} \times T_+^2 \times K3_+ \rightarrow \mathbb{R} \times T_-^2 \times K3_-,$$

where  $m : T_+^2 \rightarrow T_-^2$  is the reflection  $S^1 \times S^1 \rightarrow S^1 \times S^1$ ,  $(u, v) \mapsto (v, u)$ .  
 $m$  swaps “internal” and “external” circles  $\Rightarrow \pi_1 M = 0$  by van Kampen.

**Matching problem:** Find pairs  $V_+$  and  $V_-$  such that there is an isometry  $r : K3_+ \rightarrow K3_-$  making  $F$  an isomorphism of the ACyl  $G_2$ -structures.

**Kovalev (2003):** Use Fano 3-folds to produce examples of pairs  $V_+$ ,  $V_-$  with solution to the matching problem.

**Corti-Haskins-N-Pacini (2014):** Millions of examples from weak Fano 3-folds.  
Topological type determined in many cases.  
Many gluings give same smooth manifold.

# Invariants of twisted connected sums

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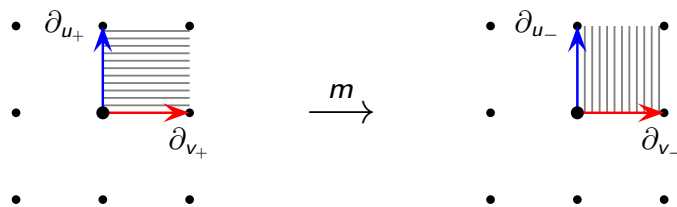
## Theorem (C-N)

Any twisted connected sum has  $\nu = 24 \in \mathbb{Z}/48\mathbb{Z}$ .

## Theorem (C-G-N)

Any twisted connected sum has  $\widehat{\nu} = -24 \in \mathbb{Z}$ .

Analytic computation reveals the result to be related to a geometric feature:  
 $m : T_+^2 \rightarrow T_-^2$  aligns “external” circle tangents  $\partial_v$  at right angle.



Inevitable, because  $m$  is an isometry of rectangular tori, and is not allowed to align the external circles: otherwise  $M$  would have an  $S^1$  factor.

## Tori with symmetries

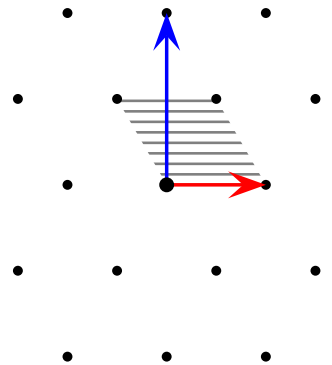
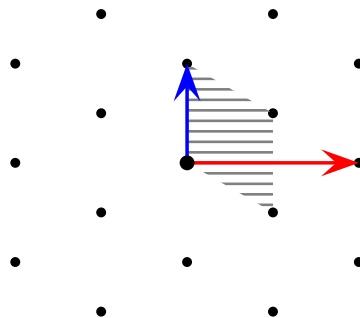
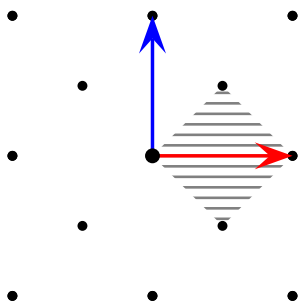
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Warm-up question:

Let  $a: S^1 \rightarrow S^1$  be the antipodal map  $z \mapsto -z$ .

Let  $T^2 := S^1 \times S^1 / a \times a$  where the  $S^1$  factors have circumference 1 and  $x$ .  
For how many different  $x$  does  $T^2$  have rotation symmetries other than  $\pm 1$ ?

$$x = 1, \sqrt{3}, \text{ or } \frac{1}{\sqrt{3}}$$

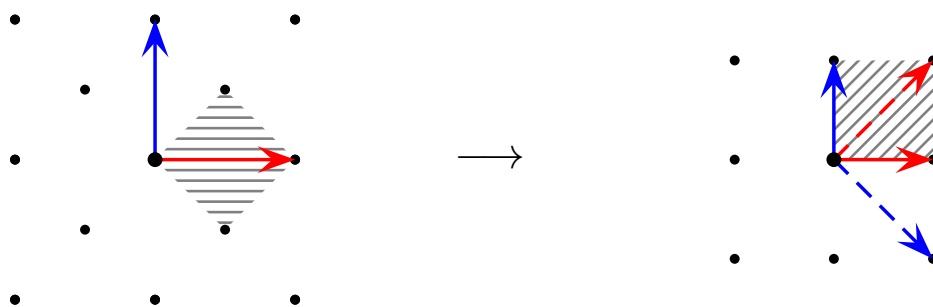


## Isometries between tori

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Consider a pair of tori that are either rectangular (metric product  $S^1 \times S^1$ ) or quotient of a rectangular one by an involution ( $S^1 \times S^1/a \times a$ ). For isometries between such tori, at what angles  $\theta$  can the sides of the rectangles be aligned?

Can achieve  $\theta = \frac{\pi}{4}$  with an involution on one side.

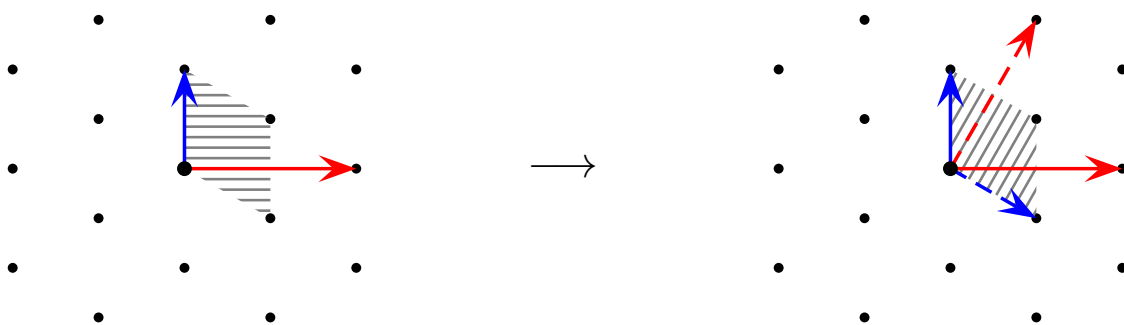


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With involutions on both sides, one can achieve  $\theta = \frac{\pi}{3}$ .

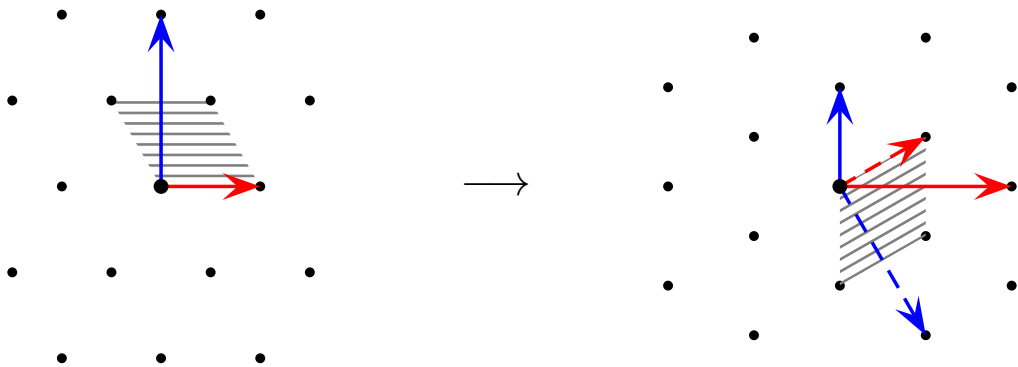


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With involutions on both sides, one can achieve  $\theta = \frac{\pi}{6}$ .



## Extra-twisted connected sums

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Suppose  $V$  is an ACyl Calabi-Yau with an involution  $\tau$ , that acts on the asymptotic cross-section  $S^1 \times K3$  by  $a \times \text{Id}_{K3}$ .

Then  $S^1 \times V / a \times \tau$  is an ACyl  $G_2$ -manifold with cross-section

$$(S^1 \times S^1 / a \times a) \times K3 = T^2 \times K3.$$

Let  $M_{\pm}$  be a pair of ACyl  $G_2$ -manifolds of this form, or of the form  $S^1 \times V$ .

Let  $m : T^2_+ \rightarrow T^2_-$  be a reflection. Depending on the circumferences of the circles,  $m$  can align the external circle directions at angle  $\theta = \frac{\pi}{3}, \frac{\pi}{4}$  or  $\frac{\pi}{6}$ .

**$\theta$ -matching problem:** Find pairs  $V_+$  and  $V_-$  with involution, and with an isometry  $r : K3_+ \rightarrow K3_-$  such that  $(-1) \times m \times r$  is an isomorphism of the limits of the ACyl  $G_2$ -structures of  $M_+$  and  $M_-$ .

Can obtain some ACyl Calabi-Yau manifolds with involution, and solutions to the matching problem, from branched double covers of Fano 3-folds.

## Examples from extra-twisted connected sums

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For each  $\theta \neq \frac{\pi}{2}$ , a range of values of  $\hat{\nu}$  can be realised by  $\theta$ -TCSs.

### Claim A

For a certain  $\frac{\pi}{4}$ -TCS  $M_2$ , compute that  $\pi_2 M_2 = 0$ ,  $H^4(M_2) \cong \mathbb{Z}^{97}$ ,  $d(M_2) = 2$ , and  $\nu(\varphi_2) = 36 \in \mathbb{Z}/48\mathbb{Z}$ .

Among the millions of 2-connected ordinary TCS, find one that also has  $H^4(M_1) = \mathbb{Z}^{97}$  and  $d(M_1) = 2$ . By the classification of 2-connected 7-manifolds, it is diffeomorphic to  $M_2$ .

However, the ordinary TCS has  $\nu(\varphi_1) = 24 \in \mathbb{Z}/48\mathbb{Z}$ , so the  $G_2$ -structures  $\varphi_1$  and  $\varphi_2$  are not homotopic (not even after changing the diffeomorphism that identifies  $M_1$  and  $M_2$ ). Hence the constructed  $G_2$ -metrics  $g_1$  and  $g_2$  lie in different components of the  $G_2$  moduli space on  $M$ .



## Examples from extra-twisted connected sums

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### Claim B

For a certain  $\frac{\pi}{6}$ -TCS  $M_3$ , compute that  $\pi_2 M_3 = 0$ ,  $H^4(M_3) \cong \mathbb{Z}^{97}$ ,  $d(M_3) = 2$ , and  $\widehat{\nu}(\varphi_3) = -72 \in \mathbb{Z}$ .

$M_3$  is thus diffeomorphic to  $M_1$  above.

On this manifold, there are precisely 24  $\text{Numerator}(\frac{d}{112}) = 24$  classes of  $G_2$ -structures modulo homotopy and diffeomorphism, all distinguished by  $\nu$ .

Since  $\nu(\varphi_1) = \nu(\varphi_3) = 24 \in \mathbb{Z}/48\mathbb{Z}$ , the diffeomorphism  $M_1 \cong M_3$  can therefore be chosen so that the torsion-free  $G_2$ -structures are homotopic.

However, the ordinary TCS has  $\widehat{\nu}(\varphi_1) = -24$ , so the two torsion-free  $G_2$ -structures lie in different components of the  $G_2$  moduli space.

$\frac{\pi}{3}$ -TCSs have 3-torsion in  $H^4(M)$ , making it harder to apply classification results to find different examples realising the same smooth manifold.

## 4. Computation

### Limits of the eta invariants

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$M_{\pm} := S^1 \times V_{\pm}$  or  $S^1 \times V_{\pm}/a \times \tau$ , with asymptotic limit  $\mathbb{R} \times T_{\pm}^2 \times K3$ .  
 $m : T_+^2 \rightarrow T_-^2$  reflection, aligning external circle factors at angle  $\theta \in (0, \frac{\pi}{2}]$ .  
Construct family of torsion-free  $G_2$ -structures  $\varphi_T$  on  $M$  the result of gluing  $M_+$  to  $M_-$  by  $(-1) \times m \times r$  with “neck length”  $T$ .

#### Theorem

Let  $\rho := \pi - 2\theta$ . Then  $\eta(D) \rightarrow \frac{\rho}{\pi}$  as  $T \rightarrow \infty$ .

Let  $R_{\pm} : H^2(K3; \mathbb{R}) \rightarrow H^2(K3; \mathbb{R})$  be reflection in  $\text{Im}(H^2(V_{\pm}) \rightarrow H^2(K3))$

#### Theorem

Define a unitary map  $U : H^2(K3; \mathbb{C}) \rightarrow H^2(K3; \mathbb{C})$  by  $e^{\pm i\rho} R_+ R_-$  on  $H^{2,\pm}(K3; \mathbb{C})$ . Then

$$\eta(B) \rightarrow \frac{1}{\pi} \sum_{\substack{\lambda \in \text{Spec} U \\ \lambda \neq -1}} \arg \lambda$$

as  $T \rightarrow \infty$ , where the branch of  $\arg$  takes values in  $(-\pi, \pi)$ .

## Evaluating $\widehat{\nu}$

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$U := e^{\pm i\rho} R_+ R_-$  on  $H^{2,\pm}(K3; \mathbb{C})$ . The theorems imply

$$\widehat{\nu}_0 = -24\eta(D) + 3\eta(B) = -24\frac{\rho}{\pi} + \frac{3}{\pi} \sum_{\substack{\lambda \in \text{Spec} U \\ \lambda \neq -1}} \arg \lambda.$$

If  $\theta = \frac{\pi}{2}$  then  $\rho = \pi - 2\theta = 0$ , and  $U$  is the real orthogonal map  $R_+ R_-$ . Hence eigenvalues are  $\pm 1$  or occur in conjugate pairs, so  $\sum \arg \lambda = 0$ , and

$$\widehat{\nu}_0 = 0.$$

In general

$$\sum_{\substack{\lambda \in \text{Spec} U \\ \lambda \neq -1}} \arg \lambda = \sum \pm \rho + \sum_{\substack{\lambda \in \text{Spec} R_+ R_- \\ \lambda \neq -1}} \arg \lambda + \pi b = -16\rho + \pi b,$$

where  $b \in \mathbb{Z}$  counts “half branch jumps” between  $\lambda$  and  $e^{\pm i\rho} \lambda$ . Then

$$\widehat{\nu}_0 = -72\frac{\rho}{\pi} + 3b.$$

## Sketch proof of theorem for $\eta(B)$

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### Theorem

$$\eta(B) \rightarrow \frac{1}{\pi} \sum_{\substack{\lambda \in \text{Spec} U \\ \lambda \neq -1}} \arg \lambda$$

as  $T \rightarrow \infty$ , for  $U := e^{\pm i\rho} R_+ R_-$  on  $H^{2,\pm}(K3; \mathbb{C})$ .

The proof relies on

### Kirk-Lesch gluing formula:

$$\eta(B) \rightarrow \eta(B_+) + \eta(B_-) + \text{Maslov index}$$

as  $T \rightarrow \infty$ , for  $B_{\pm}$  the odd signature operators on manifolds with boundary.

Because  $M_{\pm}$  have an  $S^1$ -factor they have an orientation-reversing isometry. Therefore  $B_{\pm}$  has spectral symmetry, so  $\eta(B_{\pm}) = 0!$

Hence it remains only to evaluate the Maslov index.

## The Maslov index

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Consider  $H^3(T^2 \times K3)$  as a complex vector space, with complex structure  $*$ .

$$\text{Maslov index} := \frac{1}{\pi} \sum_{\substack{\lambda \in \text{Spec}(-\tilde{R}_+ \tilde{R}_-) \\ \lambda \neq -1}} \arg \lambda$$

where  $\tilde{R}_\pm$  is reflection of  $H^3(T^2 \times K3)$  in the image of  $H^3(M_\pm)$ .

Thus it suffices to prove that  $-\tilde{R}_+ \tilde{R}_-$  has the same spectrum as  $U$ .

$$H^3(T^2 \times K3) \cong H^1(T^2) \otimes H^2(K3) \cong \mathbb{C} \otimes H^{2,+}(K3) \oplus \bar{\mathbb{C}} \otimes H^{2,-}(K3).$$

$\tilde{R}_\pm \cong R_{\partial_{u_\pm}} \otimes R_\pm$ , where

$R_{\partial_{u_\pm}} : H^1(T^2) \rightarrow H^1(T^2)$  is reflection in internal circle direction of  $M_\pm$

$R_\pm : H^2(K3) \rightarrow H^2(K3)$  is reflection in  $\text{Im}(H^2(V_\pm) \rightarrow H^2(K3))$  as before.

$-R_{\partial_{u_+}} R_{\partial_{u_-}}$  is rotation by  $\rho = \pi - 2\theta$ . Therefore on  $H^{2,\pm}(K3; \mathbb{C})$

$$-\tilde{R}_+ \tilde{R}_- \cong e^{\pm i\rho} R_+ R_-$$