

Groups with torsion, bordism and rho-invariants

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Abstract

Let Γ be a discrete group, and let M be a closed spin manifold of dimension $m > 3$ with $\pi_1(M) = \Gamma$. We assume that M admits a Riemannian metric of positive scalar curvature. We discuss how to use the L^2 -rho invariant $\rho_{(2)}$ and the delocalized eta invariant $\eta_{\langle g \rangle}$ associated to the Dirac operator on M in order to get information about the space of metrics with positive scalar curvature.

In particular we prove that, if Γ contains *torsion* and $m \equiv 3 \pmod{4}$ then M admits infinitely many different bordism classes of metrics with positive scalar curvature. This implies that there exist infinitely many concordance classes; we show that this is true even up to diffeomorphism.

If Γ has certain special properties, e.g. if it contains polynomially growing conjugacy classes of finite order elements, then we obtain more refined information about the “size” of the space of metric of positive scalar curvature, and these results also apply if the dimension is congruent to 1 mod 4. For example, if $\dim(M) \equiv 1 \pmod{4}$ and Γ contains a central element of odd order, then the moduli space of metrics of positive scalar curvature (modulo the action of the diffeomorphism group) has infinitely many components, if it is not empty.

Some of our invariants are the delocalized eta-invariants introduced by John Lott. These invariants are defined by certain integrals whose convergence is not clear in general, and we show, in effect, that examples exist where this integral definitely does not converge, thus answering a question of Lott.

We also discuss the possible values of the rho-invariants of the Dirac operator and show that there are certain global restrictions (provided that the scalar curvature is positive).

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1 Introduction and main results

Let M be a closed smooth manifold with fundamental group Γ and universal cover \overline{M} . In this paper, we are concerned mainly with the set $\mathcal{R}^+(M)$ of metrics of positive scalar curvature on M (this is in fact a topological space).

There is of course a preliminary question, namely whether this space is non-empty. It is known that there are powerful obstructions to the existence of positive scalar curvature (\equiv PSC) metrics, the most successful being the one implied by the Lichnerowicz formula: on a spin manifold with positive scalar curvature, the spin Dirac operator twisted by the Mishchenko line bundle $\mathcal{V} := \overline{M} \times_{\Gamma} C_r^* \Gamma$ is invertible. In this paper we shall leave the existence problem aside and assume that there exist a metric with positive scalar curvature. We shall instead concentrate on the classification question; if one such metric exists, how many can we put on M that are *distinct*? We need to clarify what we mean by distinct. There are three ways for distinguishing two metrics of positive scalar curvature g_1 and g_2 on M

The first one is to say that g_1 and g_2 are not path-connected in $\mathcal{R}^+(M)$. Thus, in this case, we are interested in $\pi_0(\mathcal{R}^+(M))$, the set of arcwise connected components of $\mathcal{R}^+(M)$.

The second way for distinguishing two PSC metrics employs the notion of *concordance*: g_1 and g_2 are concordant if there exists a metric of PSC on $M \times [0, 1]$ extending g_1 on $M \times \{0\}$, g_2 on $M \times \{1\}$ and of product-type near the boundary. The set of concordance classes of PSC metrics on M is denoted by $\tilde{\pi}_0(\mathcal{R}^+(M))$.

1.1 Convention. Throughout the paper, whenever we work with a Riemannian metric on a manifold with boundary, we assume that the metric has product structure near the boundary. Observe, in particular, that the restriction to the boundary of such a metric has positive scalar curvature, if the original one has positive scalar curvature.

The third and more subtle way for distinguishing two PSC metrics g_1, g_2 on a spin manifold M employs the notion of *bordism*.

1.2 Definition. Let M be a closed spin manifold with fundamental group Γ . Two metrics g_1 and g_2 of positive scalar curvature on M are π_1 -*spin bordant* if there is a compact spin manifold W with positive scalar curvature metric g and with boundary $\partial W = (M, g_1) \amalg (-M, g_2)$, which admits a Γ -covering \overline{W} whose boundary is the union of the universal coverings of the two boundary components.

Note that this notion has an evident extension to metrics on possibly different spin manifolds.

It is obvious that if two metrics (g_1, g_2) are *concordant* then they are in particular *bordant* since we can choose $W = [0, 1] \times M$ as the underlying manifold of the bordism. (On the other hand there are examples of non-concordant metrics that are bordant, see [8, page 329].) It is also rather clear that two metrics which lie in the same path component of the space of all metrics of positive scalar curvature on a given manifold M are concordant and, therefore, bordant. Summarizing, as far as the problem of distinguishing metrics of positive scalar curvature is concerned, we have:

$$\text{non-bordant} \Rightarrow \text{non-concordant} \Rightarrow \text{non-pathconnected.}$$

In this paper we shall use the L^2 -rho invariant $\rho_{(2)}$ of Cheeger-Gromov and the delocalized eta invariant $\eta_{\langle g \rangle}$ of Lott for the spin Dirac operator associated to (M, g) in order to distinguish non-bordant metrics of positive scalar curvature. Fundamental to our analysis will be the bordism invariance of $\rho_{(2)}$ and $\eta_{\langle g \rangle}$, the long exact sequence of bordism groups due to Stephan Stolz and some fundamental examples due to Botvinnik and Gilkey.

In order to apply our methods, pioneered by Botvinnik and Gilkey in [1], we shall need to assume that $\Gamma \equiv \pi_1(M)$ is not torsion-free: indeed if Γ is torsion free and satisfies the Baum-Connes conjecture for the maximal group C^* -algebra then, because of the PSC assumption, these invariants are identically zero, as we have proved in [14]¹; moreover, there are no known examples of torsion-free groups for which these invariants are non-zero.

As an example of the results we shall establish, we anticipate one of our main theorems:

1.3 Theorem. *Assume that M is a spin-manifold of dimension $4k + 3$, where $k > 0$. Assume that g is a metric with positive scalar curvature on M , and that the fundamental group Γ of M contains torsion. Then M admits infinitely many different Γ -bordism classes of metric with $\text{scal} > 0$; they are distinguished by $\rho_{(2)}$. These infinitely many bordism classes remain distinct even after we mod out the action of the group of diffeomorphisms of M^2 .*

This theorem generalizes results of Botvinnik-Gilkey [1], [2]; other generalizations of their results have appeared in Leichtnam-Piazza [10].

Under additional assumptions on the group Γ we shall be able to estimate the size of the set of equivalence classes of non-bordant metrics by proving that a free group of a certain rank acts freely on this set. We want to single out one consequence of these results, which also apply in dimensions $4k + 1$:

1.4 Corollary. *If Γ is a discrete group which contains a central element of odd order, and if M is a spin manifold with fundamental group Γ and of dimension $4k+1$ which admits a metric with positive scalar curvature, then the moduli space of such metrics (modulo the action of the diffeomorphism group via pullback) has infinitely many components*

$$|\pi_0(\mathcal{R}^+(M)/\text{Diffeo}(M))| = \infty \quad (1.5)$$

To our knowledge, this is the first general result of this kind which applies in dimension congruent to 1 modulo 4.

Extensions to even dimensional manifolds with special fundamental groups should be possible by combining the methods of the current paper with those of [10].

Note that, if the dimension is congruent to 3 mod 4, then it is *always* true that (1.5) holds; compare [8, Theorem 7.7 of Chapter IV].

There is a very parallel story for the signature operator, where the condition on positive scalar curvature is replaced by “homotopy invariance” —stated differently, one gets vanishing or classification results for the disjoint union of one manifold with a homotopy equivalent second manifold.

¹for the delocalized eta invariant it suffices to assume that Γ satisfies the Baum-Connes conjecture for the *reduced* C^* -algebra

²for the precise meaning of this compare Theorem 1.33

For instance, if Γ is torsion-free and satisfies the Baum-Connes conjecture for the maximal C^* -algebra, we prove in [14] that $\rho_{(2)}$ and $\eta_{\langle g \rangle}$ vanish on a manifold which is the disjoint union of two homotopy equivalent manifolds. For $\eta_{(2)}$ this result is originally due to Keswani [7].

Similarly, the non-triviality result we give in Theorem 1.3 has a relative for the signature operator; a result of Chang-Weinberger [3] which was actually the motivation for our result, and also for its proof.

1.6 Theorem. (*Chang-Weinberger*) *If M is a compact oriented manifold of dimension $4k + 3$, where $k > 0$, such that $\pi_1(M)$ is not torsion-free, then there are infinitely many manifolds that are homotopy equivalent to M but not homeomorphic to it.*

Notice that, in particular, the structure set $\mathcal{S}(M)$ has infinite cardinality. Chang and Weinberger ask in their paper about more precise results concerning the “size” of the structure set if the fundamental group contains a lot of torsion. In this paper we investigate the corresponding question for the space of metrics of positive scalar curvature, and use in particular the delocalized eta-invariants of John Lott to get some positive results —for precise statements consult Theorem 1.35 and Theorem 1.40. It should be possible, although technically more difficult given that the boundary operator is not invertible, to extend the results stated in Theorem 1.35 and Theorem 1.40 to the signature operator and the structure set of a fixed manifold. We plan to investigate this and further directions of research for the signature operator in future work.

Our results rely on the delocalized eta-invariants of Lott [11], applied in those situations where they are well defined and one does not have any convergence problems (e.g. for central group elements). However, we give in Section 2 examples which show that in general the convergence one hopes for does definitely not occur, showing the limitations of this method.

Close relatives of the delocalized rho-invariants we consider are the rho-invariants associated to virtual representations of dimension zero (we explain the translation between the two points of view via “Fourier transform” in Section 1.1). Given such a finite dimensional virtual unitary representation $[\lambda_1 - \lambda_2]$, let F_1 and F_2 be the associated flat vector bundles. Then the corresponding rho-invariant is simply

$$\rho_{\lambda_1 - \lambda_2}(\mathcal{D}) = \eta(\mathcal{D}_{F_1}) - \eta(\mathcal{D}_{F_2}).$$

One might wonder what the possible values of the rho-invariants are, if the group is not torsion-free. For the signature operator and these APS-rho invariants a result of this type has been proved by Guentner-Higson-Weinberger:

1.7 Theorem. [5, Theorem 7.1] *Let M and N be smooth, closed, oriented, odd-dimensional manifolds which are oriented homotopy equivalent. Let $\Gamma := \pi_1(M)$ and let $\lambda_1, \lambda_2 : \Gamma \rightarrow U(d)$ be two unitary representations. Let R' be the smallest subring of \mathbb{Q} generated by \mathbb{Z} , $1/2$, and $o(\lambda_1(g))^{-1}$ and $o(\lambda_2(g))^{-1}$ for each $g \in \Gamma$. Here, $o(x)$ is the order of the group element $x \in U(d)$, and we set $(+\infty)^{-1} := 0$. Then*

$$\rho_{\lambda_1 - \lambda_2}(D_M^{\text{sign}}) - \rho_{\lambda_1 - \lambda_2}(D_N^{\text{sign}}) \in R'.$$

We end the paper by proving the corresponding result in the positive scalar curvature context. It is no surprise that we don’t need to invert 2, as is notoriously necessary in L-theory contexts.

1.8 Theorem. *Let M be a spin manifold with a Riemannian metric of positive scalar curvature and let \mathcal{D} be the associated Dirac operator. Let λ_j be as in Theorem 1.7 and let R be the smallest subring of \mathbb{Q} generated by \mathbb{Z} and $o(\lambda_1(G))^{-1}$, $o(\lambda_2(g))^{-1}$ for each $g \in \Gamma$ of finite order. Then*

$$\rho_{\lambda_1 - \lambda_2}(\mathcal{D}) \in R.$$

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1.1 Torsion in $\pi_1(M)$ and $\dim M \equiv 3 \pmod{4}$

We will frequently talk about spin manifolds; we think of them as being manifolds with a given spin structure (so they correspond to *oriented* manifolds, not to orientable manifolds).

Let X be any space. Then there is an exact sequence of bordism groups due to Stephan Stolz, see [17], [18, p. 630].

$$\rightarrow \Omega_{n+1}^{\text{spin}}(X) \xrightarrow{t} R_{n+1}^{\text{spin}}(X) \xrightarrow{\delta} \text{Pos}_n^{\text{spin}}(X) \rightarrow \Omega_n^{\text{spin}}(X) \rightarrow R_n^{\text{spin}}(\pi_1(X)) \rightarrow (1.9)$$

Recall the definition of the terms in the sequence:

1.10 Definition. a) First, $\Omega_*^{\text{spin}}(X)$ is the singular spin bordism group of X , the set of closed spin manifolds with a reference map to X , modulo spin bordism. b) $\text{Pos}_*^{\text{spin}}(X)$ is the bordism group of spin manifolds with a given metric with $\text{scal} > 0$, with a reference map to X . A bordism in $\text{Pos}_*^{\text{spin}}(X)$ is a bordism of spin manifolds as above, together with a metric with positive scalar curvature which restricts to the given metrics at the boundary (with a product structure near the boundary).

c) $R_*^{\text{spin}}(X)$ is the set of compact spin-manifolds with boundary, where the boundary is equipped with a metric with positive scalar curvature, together with a reference map to X , modulo bordism. A bordism consists first of a bordism of the boundary, with a metric with $\text{scal} > 0$ as in the bordism relation for $\text{Pos}_*^{\text{spin}}$. Glue this bordism of the boundary to the original manifold with boundary, to get a closed manifold. The second part of a bordism in $R_*^{\text{spin}}(X)$ is a zero bordism of the resulting closed manifold (together with an extension of the reference map).

d) The maps in the exact sequence (1.9) are quite obvious:

$R_{n+1}^{\text{spin}} \rightarrow \text{Pos}_n^{\text{spin}}$ is given by taking the boundary, $\text{Pos}_n^{\text{spin}} \rightarrow \Omega_n^{\text{spin}}$ by forgetting the Riemannian metric, and $\Omega_n^{\text{spin}} \rightarrow R_n^{\text{spin}}$ by understanding a closed manifold as a manifold with empty boundary, this boundary therefore having a metric with $\text{scal} > 0$.

e) The sequence is exact by definition. It is also evident that this sequence is natural with respect to maps $X \rightarrow Y$, and each entry is a covariant functor with respect to such maps.

1.11 Definition. Let M be a closed spin manifold with fundamental group Γ . Let $u: M \rightarrow B\Gamma$ be a classifying map for a universal covering (i.e. an isomorphism on π_1). We set $\text{charPos}_*^{\text{spin}}(M, u) := \{[M, g, u] \in \text{Pos}_*^{\text{spin}}(B\Gamma)\}$. These

are all the different bordism classes of metrics with positive scalar curvature on M (where bordisms are considered which respect the given map u , i.e. include the data of the fundamental group, and where also the spin structure on M is fixed once and for all). Note that $\text{charPos}^{\text{spin}}(M, u)$ is a subset of the group $\text{Pos}_n^{\text{spin}}(B\Gamma)$, but we can't expect that it is a subgroup.

In this section, we will study the set $\text{charPos}^{\text{spin}}(M, u)$; we denote the class $[M, g, u] \in \text{charPos}^{\text{spin}}(M, u)$ by $[g]$.

1.12 Proposition. *Let M be a spin manifold with fundamental group Γ , of dimension $m \geq 5$. If*

$$[g] \in \text{charPos}^{\text{spin}}(M, u) \subset \text{Pos}_m^{\text{spin}}(B\Gamma) \text{ and } x \in \ker(\text{Pos}_m^{\text{spin}}(B\Gamma) \rightarrow \Omega_m^{\text{spin}}(B\Gamma))$$

then $x + [g] \in \text{charPos}^{\text{spin}}(M, u)$. The action of $\ker(\text{Pos}_m^{\text{spin}}(B\Gamma) \rightarrow \Omega_m^{\text{spin}}(B\Gamma))$ on $\text{charPos}^{\text{spin}}(M, u)$ is free and transitive.

Proof. The statement is a consequence of the surgery result of Gromov-Lawson, Schoen-Yau, Gajer (compare [1, Lemma 3.1]). Since the underlying manifold $(X, f: X \rightarrow B\Gamma)$ of x is zero bordant in $\Omega_m^{\text{spin}}(B\Gamma)$, the sum of (M, u) and (X, f) is bordant to (M, u) in $\Omega_m^{\text{spin}}(B\Gamma)$. By assumption, on this sum we have a metric with $\text{scal} > 0$. This metric can, by the surgery result, be extended over a suitable modification of the interior of this bordism (we have to make it sufficiently connected), to yield some metric with $\text{scal} > 0$ on the other end, i.e. on (M, u) . If we perform two such constructions, we can glue the resulting bordisms (with their metric and reference map to $B\Gamma$) along the boundary $(M + X, u + f)$ to see that the bordism class of the resulting metric is well defined.

Since the action comes from addition in $\text{Pos}_n^{\text{spin}}(B\Gamma)$, the statement about freeness follows immediately. In order to prove transitivity we simply observe that any two objects $[g_1], [g_2] \in \text{charPos}^{\text{spin}}(M, u)$ are mapped to the same element of $\Omega_n^{\text{spin}}(B\Gamma)$, so that their difference belongs to $\ker(\text{Pos}_m^{\text{spin}}(B\Gamma) \rightarrow \Omega_m^{\text{spin}}(B\Gamma))$. Thus

$$[g_2] = [g_1] + ([g_2] - [g_1]) = [g_1] + x, \text{ with } x \in \ker(\text{Pos}_m^{\text{spin}}(B\Gamma) \rightarrow \Omega_m^{\text{spin}}(B\Gamma))$$

and we are done. \square

Now, we want to introduce invariants on $\text{Pos}_m^{\text{spin}}(B\Gamma)$ and $\text{charPos}^{\text{spin}}(M, u)$ which can be used to distinguish elements in these sets.

1.13 Definition. Let (M, g) be a spin-manifold with Riemannian metric g and with reference map $u: M \rightarrow B\Gamma$. Let \bar{M} be the Γ -covering classified by u (if u is a π_1 -isomorphism, then \bar{M} is a universal covering of M). Define

$$\rho_{(2)}(M, g, u) := \eta_{(2)}(\bar{D}) - \eta(D),$$

where D is the spin Dirac operator on M and \bar{D} its lift to \bar{M} . For details on the eta and the L^2 -eta-invariant, compare, for example, [14].

Fix an element $h \in \Gamma$ such that its conjugacy class $\langle h \rangle$ has polynomial growth (inside Γ with its word metric). If the scalar curvature of (M, g) is strictly positive, then the Dirac operator of M (and the Dirac operator twisted with any flat bundle) is invertible. Consequently, the delocalized eta invariant

of Lott, denoted $\eta_{\langle h \rangle}(\overline{D})$, is defined (compare [11] [12]; see also [14, Section 13.1]). More precisely,

$$\eta_{\langle h \rangle}(\overline{D}) = \frac{1}{\sqrt{\pi}} \int_0^\infty \sum_{\gamma \in \langle h \rangle} \left(\int_{\mathcal{F}} \mathrm{tr}_x k_t(x, \gamma x) dx \right) \frac{dt}{\sqrt{t}},$$

here $k_t(x, y)$ is the integral kernel of the operator $\overline{D}e^{-t\overline{D}^2}$ on the covering $\overline{M} := u^*E\Gamma$ and \mathcal{F} is a fundamental domain for this covering. Note that it is a highly non-trivial fact that this sum and integral converge; it is proved for invertible \overline{D} and groups Γ of polynomial growth in [11]; we observed in [14] that one can take arbitrary groups, provided the conjugacy class is of polynomial growth. Some information about conjugacy classes of polynomial growth can be found in [19]. We give an example where the expression does not converge in Section 2. Notice that the same formula, if $h = 1$, defines $\eta_{(2)}(\overline{D})$.

1.14 Notation. If $h \neq 1$ we shall set $\rho_{\langle h \rangle}(M, g, u) := \eta_{\langle h \rangle}(\overline{D})$.

1.15 Proposition. *The invariants $\rho_{(2)}$ and $\rho_{\langle h \rangle}$ of Definition 1.13 define homomorphisms*

$$\rho_{(2)}: \mathrm{Pos}_*^{\mathrm{spin}}(B\Gamma) \rightarrow \mathbb{R}, \quad \rho_{\langle h \rangle}: \mathrm{Pos}_*^{\mathrm{spin}}(B\Gamma) \rightarrow \mathbb{C}.$$

Proof. The group structure in $\mathrm{Pos}_m^{\mathrm{spin}}(B\Gamma)$ is given by disjoint union, and $\rho_{(2)}$ as well as $\rho_{\langle h \rangle}$ are additive under disjoint union. We only have to show that the invariant vanishes for a manifold representing 0 in $\mathrm{Pos}_m^{\mathrm{spin}}(B\Gamma)$. Similar arguments have already been used in many places, e.g. [1, Theorem 1.1] and [10, Proposition 4.1]. Let $[M, g, u] = 0$ in $\mathrm{Pos}_m^{\mathrm{spin}}(B\Gamma)$; then there exists a spin Riemannian manifold (W, G) together with a classifying map $U: W \rightarrow B\Gamma$ such that $\partial W = M$, $U|_{\partial W} = u$, $\mathrm{scal}(G) > 0$, $G|_{\partial W} = g$. Let \mathcal{D}_W be the Mishchenko-Fomenko spin Dirac operator associated to (W, G, U) ; let $C^*\Gamma$ be the maximal group C^* -algebra. Since $\mathrm{scal}(g) > 0$ there is a well defined index class $\mathrm{Ind}(\mathcal{D}_W) \in K_0(C^*\Gamma)$; since $\mathrm{scal}(G) > 0$ this index class is zero (the operator \mathcal{D}_W is in fact invertible). Let $\mathrm{Ind}_{[0]}(\mathcal{D}_W) := \mathrm{Tr}^{\mathrm{alg}}(\mathrm{Ind}(\mathcal{D}_W))$ with $\mathrm{Tr}^{\mathrm{alg}}: K_0(C^*\Gamma) \rightarrow C^*\Gamma/\overline{[C^*\Gamma, C^*\Gamma]}$ the natural algebraic trace. Then, the APS index theorem proved in [9] (see [14, Theorem 3.3] for a direct and elementary proof of the special case used here) gives

$$0 = \mathrm{Ind}_{[0]}(\mathcal{D}_W) = \left(\int_W \widehat{A}(W, G) \right) \cdot 1 - \frac{1}{2} \eta_{[0]}(\mathcal{D}_M) \in C^*\Gamma/\overline{[C^*\Gamma, C^*\Gamma]}; \quad (1.16)$$

a similar identity holds in the abelianization $C_r^*\Gamma/\overline{[C_r^*\Gamma, C_r^*\Gamma]}$ of the reduced group C^* -algebra as well as in the abelianization of the Connes-Moscovici algebra $\mathcal{B}_\Gamma^\infty$:

$$0 = \mathrm{Ind}_{[0]}(\mathcal{D}_W^\infty) = \left(\int_W \widehat{A}(W, G) \right) \cdot 1 - \frac{1}{2} \eta_{[0]}(\mathcal{D}_M^\infty) \in \mathcal{B}_\Gamma^\infty/\overline{[\mathcal{B}_\Gamma^\infty, \mathcal{B}_\Gamma^\infty]}. \quad (1.17)$$

Let $h \neq 0$ and let $\tau_{\langle h \rangle}: \mathbb{C}\Gamma \rightarrow \mathbb{C}$ be the trace defined by $\sum_{g \in \Gamma} \lambda_g g \mapsto \sum_{g \in \langle h \rangle} \lambda_g$. Because the conjugacy class $\langle h \rangle$ has polynomial growth, we observed in [14, Proposition 13.5] that $\tau_{\langle h \rangle}$ extends by continuity to a trace on $\mathcal{B}_\Gamma^\infty$. By [11, Formula (4.16)],

$$\tau_{\langle h \rangle}(\eta_{[0]}(\mathcal{D}_M^\infty)) = \eta_{\langle h \rangle}(\overline{D}) \equiv \rho_{\langle h \rangle}(M, g, u),$$

and since $\tau_{\langle h \rangle}(1) = 0$, we finally see that by applying $\tau_{\langle h \rangle}$ to (1.17) we get $\rho_{\langle h \rangle}(M, g, u) = 0$ which is what we wanted to prove.

Let $\tau : C^*\Gamma \rightarrow \mathbb{C}$ be the trace induced by the trivial representation; let $\tau_\Gamma : C^*\Gamma \rightarrow \mathbb{C}$ be the canonical trace, i.e. the trace induced by $\sum_{g \in \Gamma} \lambda_g g \mapsto \lambda_1$. Obviously $\tau(1) = \tau_\Gamma(1)$. Recall now that we have also proved in [14] that

$$\tau_\Gamma(\eta_{[0]}(\mathcal{D}_M)) = \eta_{(2)}(\overline{D}), \quad \tau(\eta_{[0]}(\mathcal{D}_M)) = \eta(D);$$

we complete the proof of the Proposition by applying τ and τ_Γ to (1.16) and subtracting. \square

1.18 Proposition. *Let $\mathcal{R}^+(M)$ be the space of smooth metrics with positive scalar curvature on M , with the usual C^∞ -topology. For the fixed spin structure and classifying map $u : M \rightarrow B\Gamma = B\pi_1(M)$, we get an obvious surjection $\mathcal{R}^+(M) \twoheadrightarrow \text{charPos}^{\text{spin}}(M, u)$. The composition*

$$\mathcal{R}^+(M) \rightarrow \text{charPos}^{\text{spin}}(M, u) \hookrightarrow \text{Pos}_m^{\text{spin}}(B\Gamma) \xrightarrow{\rho^{(2)}} \mathbb{R}$$

is constant on orbits of the action of the spin-structure preserving diffeomorphism group $\text{Diffeo}_\#(M)$ (which acts by pulling back the Riemannian metric). Moreover, it is locally constant, and therefore factors through the set of components of the moduli space $\pi_0(\mathcal{R}^+(M)/\text{Diffeo}_\#(M))$.

Proof. Let $PSpin(TM)$ be a 2-fold covering of $PSO_g(TM) \rightarrow M$ which is non-trivial on the fibers and which determines the chosen spin structure on M . Equivalence of spin structures is understood as equivalence of such 2-fold coverings. Using the fact that the inclusion $PSO_h(TM) \hookrightarrow PGL_+(TM)$ is a homotopy equivalence for each metric h on TM , we can equivalently define a spin structure as a 2-fold covering of $PGL_+(TM)$ which is non-trivial along the fibers of $PGL_+(TM) \rightarrow M$; this means, in particular, that the choice of a spin structure for one metric g canonically determines a spin structure for any other metric h —compare [8, Chapter II, Sections 1 and 2]. Let $\Psi : M \rightarrow M$ be a diffeomorphism; let $d\Psi : PGL_+(TM) \rightarrow PGL_+(TM)$ be the induced diffeomorphism. Then Ψ is spin structure preserving if the pullback $d\Psi^*(PSpin(TM))$ is equivalent to $PSpin(TM)$. Call the corresponding isomorphism β_{GL_+} . Now, if we define the spinor bundle, L^2 -spinors and the Dirac operator entirely in terms of the pullback structures, Ψ induces a unitary equivalence, and consequently the eta invariant of D and of the operator defined using the pulled back structure coincide. On the other hand, the isomorphism β_{GL_+} induces an isomorphism β between the original spin structure and the pulled-back structure both seen as 2-fold coverings of $PSO_{\Psi^*g}(TM)$; using β we get a unitary equivalence between the operator obtained via the pulled back structures and the Dirac operator for Ψ^*g and the chosen fixed spin structure, so that their eta invariants coincide, as well. Taken together, $\eta(D_g) = \eta(D_{\Psi^*g})$. More or less the same applies to the construction of the L^2 -eta invariant on the universal covering. In order to simplify the notation, let us denote by P the chosen spin structure. We start with a given covering $\overline{M} \xrightarrow{\pi} M$ with given action of Γ by deck transformations (obtained by pulling back $E\Gamma$ from $B\Gamma$ via the map $u : M \rightarrow B\Gamma$). The spin structure and the metric on \overline{M} , denoted \overline{g} and \overline{P} , are the ones pulled back from M via π . We can then pull back everything, including the covering \overline{M} via Ψ , and

will obtain a Γ -covering $p: \Psi^*\overline{M} \rightarrow M$ with pullback Γ -action, spin structure, pullback metric etc. Then Ψ will induce a unitary Γ -equivariant equivalence between \overline{D} and the Dirac operator constructed entirely in terms of the pulled back structures, so the L^2 -eta invariants of these two operators coincide. On the other hand, we have the covering \overline{M} itself, and the fixed spin structure. Since the universal covering is unique, we get a covering isomorphism $\gamma: \overline{M} \rightarrow \Psi^*\overline{M}$, covering the identity. It becomes an isometry if we use on \overline{M} the lift of the metric Ψ^*g . On $\Psi^*\overline{M}$ we have used the spin structure given by the pullback principle bundle $\overline{\Psi}^*\overline{P}$ with $\overline{\Psi}$ the obvious map $\Psi^*\overline{M} \rightarrow \overline{M}$ covering Ψ . Since $p \circ \gamma = \pi$, we get a map of principal bundles $\pi^*\Psi^*P \rightarrow \overline{\Psi}^*\overline{P}$. We now use the principal bundle isomorphism $P \rightarrow \Psi^*P$ which comes from the fact that Ψ is spin structure preserving, to finally identify the spin principle bundle of \overline{M} to the one of $\Psi^*\overline{M}$ via a map $\overline{\gamma}$ covering γ and the map $P \rightarrow \Psi^*P$ of principal bundles on M . Proceeding as for M itself, we obtain a unitary equivalence between \overline{D}_{Ψ^*g} and the operator obtained using the pullback structures. Summarizing: $\eta_{(2)}(\overline{D}_g) = \eta_{(2)}(\overline{D}_{\Psi^*g})$. \square

1.19 Remark. It should be noted that the map γ given above is not, in general, Γ -equivariant, but we can choose γ in such a way that for $x \in \overline{M}$ and $g \in \Gamma$, $\gamma(gx) = \alpha_\Psi(g)\gamma(x)$, where $\alpha_\Psi: \Gamma \rightarrow \Gamma$ is equal to the isomorphism $u_*\pi_1(\Psi)u_*^{-1}$. This is true because (by the universal property of $B\Gamma$ and $E\Gamma$), $\Psi^*u^*E\Gamma$ is isomorphic as Γ -principal bundle to $u^*(B\alpha_\Psi)^*E\Gamma$, since $u \circ \Psi$ and $B\alpha_\Psi \circ u$ induce the same map on the fundamental group. Moreover, by [8, Appendix B, p. 378], $(B\alpha_\Psi)^*E\Gamma$ is isomorphic as Γ -principal bundle to the associated bundle $\Gamma \times_{\alpha_\Psi} E\Gamma$, and the required covering isomorphism

$$E\Gamma \rightarrow \Gamma \times_{\alpha_\Psi} E\Gamma; x \mapsto [1, x]$$

has exactly the required equivariance property: $[1, gx] = [\alpha_\Psi(g), x] = \alpha_\Psi(g)[1, x]$, which is preserved when pulling back the whole covering isomorphism with u .

Now, as explained above, the map γ induces maps which preserve all the structure which is present in the construction of the Dirac operators on \overline{M} (using the lift of the metric Ψ^*g) and $\Psi^*\overline{M}$ (except for the group action). In particular, for the fiberwise trace we have

$$\text{tr } k_t(x, hx) = \text{tr } \kappa_t(\gamma(x), \alpha_\Psi(h)\gamma(x)),$$

where here $k_t(x, y)$ is the integral kernel of $\overline{D}e^{-t\overline{D}^2}$ on \overline{M} using the fixed spin structure and the metric Ψ^*g , whereas $\kappa_t(x, y)$ is the same function on $\Psi^*\overline{M}$ defined using the pullback structure throughout.

In particular, reasoning as in the proof of Proposition 1.18, we see that for $h \in \Gamma$

$$\eta_{\langle h \rangle}(\overline{D}_{\Psi^*g}) = \eta_{\langle \alpha_\Psi(h) \rangle}(\overline{D}), \quad (1.20)$$

whenever $\eta_{\langle h \rangle}$ is defined.

The following example is a direct consequence of the results of Botvinnik and Gilkey [4].

1.21 Example. Let \mathbb{Z}/n be a finite cyclic group, and $m > 4$ be congruent 3 mod 4. Then $\rho_{(2)}: \text{Pos}_m^{\text{spin}}(B\mathbb{Z}/n) \rightarrow \mathbb{R}$ is non-trivial. Since it is a group homomorphism for the additive group of \mathbb{R} , its image is infinite.

Proof. We only have to observe that $\rho_{(2)}$ is a twisted rho-invariant, where we twist with $-\mathbb{R} + \frac{1}{n}\mathbb{R}[\mathbb{Z}/n]$. Indeed, the first representation is the opposite of the trivial representation, giving minus the ordinary eta-invariant; the second one is a multiple of the regular representation, giving the L^2 -eta invariant. In order to prove the last statement recall that for any unitary representation ϕ with character χ_ϕ , the twisted eta invariant $\eta_\phi(D)$ can be expressed by

$$\eta_\phi(D) = \sum_{h \in \mathbb{Z}/n} \chi_\phi(h) \eta_h(\bar{D}) \quad (1.22)$$

where $\langle h \rangle = h$ given that the group is commutative. Since the character of the regular representation is the delta function at the identity element, we see that the eta invariant for the operator twisted by the regular representation is nothing but the η -invariant of the \mathbb{Z}/n -covering, which is n -times the L^2 -eta invariant of this covering.

The character χ of the virtual representation $-\mathbb{R} + 1/n\mathbb{R}[\mathbb{Z}/n]$ is invariant under inversion: $\chi(g) = \chi(g^{-1})$. This means, by definition, that $-\mathbb{R} + \frac{1}{n}\mathbb{R}[\mathbb{Z}/n] \in R_0^+(\mathbb{Z}/n)$ where

$$R_0^+(\mathbb{Z}/n) := \{\phi \in R(\mathbb{Z}/n) \mid \chi_\phi(1) = 0; \chi_\phi(g) = \chi_\phi(g^{-1}) \forall g\}. \quad (1.23)$$

By the results of Botvinnik-Gilkey [1, Proof of Theorem 2.1] we know that

$$\forall \phi \in R_0^+(\mathbb{Z}/n) \exists [M, g, u] \in \text{Pos}_m^{\text{spin}}(B\mathbb{Z}/n) \text{ such that } \rho_\phi[M, g, u] \neq 0 \quad (1.24)$$

and it suffices to apply this result to $\mathbb{R} - \frac{1}{n}\mathbb{R}[\mathbb{Z}/n]$. \square

1.25 Remark. Let Γ be any **finite** group and let $m > 4$ be congruent 3 mod 4. The results of Botvinnik and Gilkey show that the map $\Psi : R_0^+(\Gamma) \otimes \mathbb{C} \rightarrow (\text{Pos}_m^{\text{spin}}(B\Gamma) \otimes \mathbb{C})'$ defined by

$$\Psi(\phi)[M, g, u] := \rho_\phi[M, g, u] \quad (1.26)$$

is injective. Let $\text{Class}(\Gamma) = \{f : \Gamma \rightarrow \mathbb{C} \mid f(\gamma^{-1}h\gamma) = f(h) \forall \gamma, h \in \Gamma\}$ be the complex vector space of class functions on Γ . Let

$$\text{Class}_0^+(\Gamma) = \{f \in \text{Class}(\Gamma) \mid f(1) = 0, f(h) = f(h^{-1})\}. \quad (1.27)$$

Then there is a natural isomorphism of vector spaces $\Theta : R_0^+(\Gamma) \otimes \mathbb{C} \rightarrow \text{Class}_0^+(\Gamma)$ obtained by associating to $\phi \in R_0^+(\Gamma)$ its character χ_ϕ . There is also a map $\Phi : \text{Class}_0^+(\Gamma) \rightarrow (\text{Pos}_m^{\text{spin}}(B\Gamma) \otimes \mathbb{C})'$ given by

$$\Phi(f)[M, g, u] := \sum_{\langle h \rangle} \rho_{\langle h \rangle}[M, g, u] f(\langle h \rangle). \quad (1.28)$$

Since by the analog of (1.22) we see that $\Phi \circ \Theta = \Psi$, we conclude that Φ is also injective if $m > 4$ is congruent 3 mod 4.

We shall apply this result to $\Gamma = \mathbb{Z}/n$: thus for these values of m

$$\forall f \in \text{Class}_0^+(\mathbb{Z}/n) \exists y \in \text{Pos}_m^{\text{spin}}(B\mathbb{Z}/n) \otimes \mathbb{C} : \sum_h \rho_h(y) f(h) \neq 0 \quad (1.29)$$

The following lemma describes how to compute delocalized rho-invariants for manifolds obtained by induction.

1.30 Lemma. *Let $\pi = \mathbb{Z}/n$ be a finite cyclic group, $j: \mathbb{Z}/n \hookrightarrow \Gamma$ an injective group homomorphism. Fix $1 \neq g \in \Gamma$ of finite order and such that its conjugacy class $\langle g \rangle$ has polynomial growth. The delocalized rho-invariant $\rho_{\langle g \rangle}: \text{Pos}_m^{\text{spin}}(B\Gamma) \rightarrow \mathbb{R}$ is defined if m is odd. We have the induced map $Bj_*: \text{Pos}_m^{\text{spin}}(B\mathbb{Z}/n) \rightarrow \text{Pos}_m^{\text{spin}}(B\Gamma)$. Then*

$$\rho_{\langle g \rangle}(Bj_*x) = \sum_{h \in j^{-1}(\langle g \rangle)} \rho_{\langle h \rangle}(x); \quad \forall x \in \text{Pos}_m^{\text{spin}}(B\mathbb{Z}/n). \quad (1.31)$$

Similarly, considering the L^2 -rho-invariant

$$\rho_{(2)}(Bj_*x) = \rho_{(2)}(x); \quad \forall x \in \text{Pos}_m^{\text{spin}}(B\mathbb{Z}/n).$$

Proof. This is a well known feature of L^2 -invariants. We indicate the proof, showing along the way how it extends to the delocalized invariants. Assume that $x = [M, g, u: M \rightarrow B\pi]$. Observe that j is injective. This implies that the covering $(Bj)^*E\Gamma \rightarrow B\pi$ decomposes as a disjoint union (parametrized by the elements of the set $\Gamma/j(\pi)$) of copies of $E\pi$. For the convenience of the reader we recall a possible argument. Given the universal free Γ -space $E\Gamma$, the action of π on $E\Gamma$ (via j) allows us to view $E\Gamma$ as a model of $E\pi$, with $B\pi := E\Gamma/\pi$. In this picture, Bj is simply the projection map $E\Gamma/\pi \rightarrow E\Gamma/\Gamma$. Then the pullback $(Bj)^*E\Gamma = \{(x\pi, x\gamma) \in E\Gamma/\pi \times E\Gamma \mid x\pi \in E\Gamma/\pi, \gamma \in \Gamma\} \cong E\Gamma \times \pi \backslash \Gamma$ with the evident map $(x\pi, x\gamma) \mapsto (x\pi, \pi\gamma)$.

Consequently, the covering $\overline{M} = (Bj \circ u)^*E\Gamma = u^*(Bj)^*E\Gamma$ decomposes as a disjoint union of copies of the covering \tilde{M} classified by u . The construction of the L^2 -eta invariant for this disjoint union $\overline{M} = (Bj \circ u)^*E\Gamma$ involves only the one component \tilde{M} which contains the fundamental domain, and therefore is exactly the same as the construction of the L^2 -eta invariant for \tilde{M} itself. Since the ordinary η -invariant does only depend on M , also the L^2 -rho invariants coincide.

More precisely, and also holding for the delocalized invariants, recall from Definition 1.13 that

$$\rho_{\langle h \rangle}(\overline{D}) = \frac{1}{\sqrt{\pi}} \int_0^\infty \sum_{\gamma \in \langle h \rangle} \int_{\mathcal{F}} \text{tr}_x k_t(x, \gamma x) dx \frac{dt}{\sqrt{t}}.$$

Now \overline{M} decomposes as a disjoint union of copies of \tilde{M} . The heat kernel $k_t(x, y)$ vanishes if x and y belong to different components, and if x and y lie in the same component, coincides with the heat kernel of the operator restricted to that component (use uniqueness of the heat kernel). If $x \in \mathcal{F} \subset \tilde{M}$ and $\gamma \in \text{im}(j)$ then $\gamma x \in \tilde{M}$ (because $\tilde{M} \rightarrow M$ is just the covering corresponding to the subgroup $j(\pi)$ of Γ). However, if $\gamma \notin \text{im}(j)$, then $\gamma x \notin \tilde{M}$ (for the same reason). Thus, in the sum above, all summands with $\gamma \notin \text{im}(j)$ vanish, whereas the summands with $\gamma \in \text{im}(j)$ are exactly those (using an obvious diffeomorphism) showing up in the definition of the delocalized invariants for \tilde{D} on \tilde{M} , and this is what is stated in the assertion of the Lemma. \square

1.32 Remark. The proof of Lemma 1.30 gives also a formula for induction from arbitrary (not necessarily cyclic) subgroups. Namely, if $j: \pi \hookrightarrow \Gamma$ is an injective homomorphism for a not necessarily finite cyclic group,

$$\rho_{\langle h \rangle}(Bj_*(x)) = \sum_{\langle \gamma \rangle \subset j^{-1}(\langle h \rangle)} \rho_{\langle \gamma \rangle}(x),$$

where the sum on the right hand side runs over all the π -conjugacy classes which are contained in $j^{-1}(\langle h \rangle)$.

1.33 Theorem. *Assume that M is a spin-manifold of dimension $m > 4$, $m \equiv 3 \pmod{4}$. Assume that g is a metric with positive scalar curvature on M , and that the fundamental group Γ of M contains at least one non-trivial element of finite order. Then $\text{charPos}^{\text{spin}}(M, u)$ is infinite, i.e. M admits infinitely many different bordism classes of metric with $\text{scal} > 0$. They are distinguished by $\rho_{(2)}$.*

*More precisely, the infinitely many bordism classes we construct are also different modulo the “action” of the diffeomorphism group, i.e. we get metrics $(g_\alpha)_{\alpha \in A}$ such that $|A| = \infty$ and for every diffeomorphism f of M , f^*g_α is bordant to g_β only if $\alpha = \beta$.*

As a consequence, the space $\mathcal{R}^+(M)/\text{Diffeo}(M)$, the moduli space of metrics of positive scalar curvature, has infinitely many components, distinguished by $\rho_{(2)}$.

1.34 Remark. Recall that by the methods of Gromov and Lawson, it is known that $\mathcal{R}^+(M)/\text{Diffeo}(M)$ has infinitely many components for every manifold of dimension $4k+3$, $k \geq 1$ (compare [8, Theorem 7.7]). Strictly speaking the result stated in [8] only involves $\mathcal{R}^+(M)$: an inspection of the proof shows that the main argument used there also establishes the fact that $|\pi_0(\mathcal{R}^+(M)/\text{Diffeo}(M))| = \infty$: indeed it suffices to observe that the signature is a cut-and-paste invariant. Notice however, that by construction the examples they get are all bordant to each other.

Proof. Let $j: \mathbb{Z}/n \rightarrow \Gamma$ be an injection. This exists for some $n > 1$ since Γ is not torsion free. By Example 1.21, the homomorphism $\rho_{(2)}: \text{Pos}_m^{\text{spin}}(B\mathbb{Z}/n) \rightarrow \mathbb{R}$ is non-trivial (therefore has infinite image). The group $\Omega_m^{\text{spin}}(B\mathbb{Z}/n)$ is finite by the Atiyah-Hirzebruch spectral sequence. Consequently, the kernel K of the map $\text{Pos}_m^{\text{spin}}(B\mathbb{Z}/n) \rightarrow \Omega_m^{\text{spin}}(B\mathbb{Z}/n)$ has finite index, and the restriction $\rho_{(2)}|_K: K \rightarrow \mathbb{R}$ also is non-trivial with infinite image.

Let $u: M \rightarrow B\Gamma$ be the chosen classifying map of a universal covering. By naturality of the exact sequence (1.9) and Proposition 1.12 $Bj_*k + [M, g, u] \in \text{charPos}^{\text{spin}}(M, u)$ for each $k \in K$. Moreover, by Lemma 1.30,

$$\rho_{(2)}(Bj_*k + [M, g, u]) = \rho_{(2)}(k) + \rho_{(2)}(M, g, u),$$

Consequently, $\rho_{(2)}: \text{charPos}^{\text{spin}}(M, u) \rightarrow \mathbb{R}$ has infinite image.

Using Proposition 1.18 and the surjectivity of $\mathcal{R}^+(M) \rightarrow \text{charPos}^{\text{spin}}(M, u)$, the map $\rho_{(2)}: \pi_0(\mathcal{R}^+(M)/\text{Diffeo}_\#(M)) \rightarrow \mathbb{R}$ also has infinite image.

Since the spin-structure preserving diffeomorphisms have finite index in all diffeomorphisms, even modulo $\text{Diffeo}(M)$ there are infinitely many components in the moduli space. In a similar way, we can get infinitely many bordism classes which are different even modulo pullback with arbitrary diffeomorphisms. \square

1.2 Different conjugacy classes of torsion elements in the fundamental group and positive scalar curvature

In this subsection we shall sharpen Theorem 1.33 and extend it to dimensions $4k+1$ under some additional assumptions on Γ .

1.35 Theorem. *Let Γ be a discrete group. Consider the following subset of the set \mathcal{C} of all conjugacy classes of Γ :*

$$\mathcal{C}_{fp} := \{ \langle h \rangle \subset \Gamma \mid h \text{ has finite order, } \langle h \rangle \text{ has polynomial growth} \}.$$

On this set, we have an involution τ given by $\langle h \rangle \mapsto \langle h^{-1} \rangle$. Assume that M is a closed spin manifold with fundamental group Γ , with classifying map $u: M \rightarrow B\Gamma$, of dimension $4k + 3$, $k \geq 1$. Then, on $\text{charPos}^{\text{spin}}(M, u)$, a free abelian group of rank $|\mathcal{C}_{fp}/\tau|$ acts freely.

Proof. Let $K := \ker(\text{Pos}_m^{\text{spin}}(B\Gamma) \rightarrow \Omega_m^{\text{spin}}(B\Gamma))$: then it suffices to show that $\dim K \otimes \mathbb{C} \geq |\mathcal{C}_{fp}/\tau|$. For each $\langle g \rangle \in \mathcal{C}_{fp}$ consider the characteristic function $\kappa(g)$ of the set $\langle g \rangle \cup \langle g^{-1} \rangle$. By (1.27), $\kappa(g)$ belongs to $\text{Class}_0^+(\Gamma)$. Let L_{fp} be the vector subspace of $\text{Class}_0^+(\Gamma)$ whose elements are finite linear combinations of $\kappa(g)$, with $\langle g \rangle \in \mathcal{C}_{fp}$. This is a vector space of dimension $|\mathcal{C}_{fp}/\tau|$ and we denote by κ , $\kappa = \sum_j \lambda_j \kappa(g_j)$, the generic element. Following Remark 1.25, we begin by showing that the map $\Phi: L_{fp} \subset \text{Class}_0^+(\Gamma) \rightarrow (\text{Pos}_m^{\text{spin}}(B\Gamma) \otimes \mathbb{C})'$ which associates to $\kappa = \sum_j \lambda_j \kappa(g_j)$ the functional $\Phi(\kappa)$,

$$\Phi(\kappa)[M, g, u] := \sum_j \lambda_j (\rho_{\langle g_j \rangle}[M, g, u] + \rho_{\langle g_j^{-1} \rangle}[M, g, u]), \quad (1.36)$$

is injective. Choose g_ℓ so that $\lambda_\ell \neq 0$. Let $\pi(g_\ell)$ be the finite cyclic group generated by g_ℓ . Consider the restriction $\kappa|_{\pi(g_\ell)}$, an element in $\text{Class}_0^+(\pi(g_\ell))$. Then by the results of Botvinnik-Gilkey, as stated in (1.29), we know that there exist $y \in \text{Pos}_m^{\text{spin}}(B\pi(g_\ell)) \otimes \mathbb{C}$ such that

$$\sum_{h \in \pi(g_\ell)} \rho_h(y) \kappa|_{\pi(g_\ell)}(h) \neq 0.$$

Let $j: \pi(g_\ell) \hookrightarrow \Gamma$ be the natural inclusion and let $x := Bj_*(y)$ so that $x \in \text{Pos}_m^{\text{spin}}(B\Gamma) \otimes \mathbb{C}$. By the induction formula (1.31) we know that

$$\Phi(\kappa)(x) = \sum_{h \in \pi(g_\ell)} \rho_h(y) \kappa|_{\pi(g_\ell)}(h)$$

and we can therefore conclude that $\Phi(\kappa)(x) \neq 0$. It remains to show that we can choose $x \in \ker(\text{Pos}_m^{\text{spin}}(B\Gamma) \rightarrow \Omega_m^{\text{spin}}(B\Gamma)) \otimes \mathbb{C}$. By naturality of the long exact sequence (1.9) it suffices to show that we can choose $y \in \ker(\text{Pos}_m^{\text{spin}}(B\pi(g_\ell)) \rightarrow \Omega_m^{\text{spin}}(B\pi(g_\ell))) \otimes \mathbb{C}$. However, since $\Omega_m^{\text{spin}}(B\pi(g_\ell))$ is finite, this is easily accomplished by taking a suitable multiple of the original y . \square

We now analyze the case $\dim(M) \equiv 1 \pmod{4}$. Let

$$R_0^-(\mathbb{Z}/n) := \{ \phi \in R(\mathbb{Z}/n) \mid \chi_\phi(1) = 0; \chi_\phi(h) = -\chi_\phi(h^{-1}) \forall h \} \quad (1.37)$$

$$\text{Class}_0^-(\Gamma) = \{ f \in \text{Class}(\Gamma) \mid f(1) = 0, f(h) = -f(h^{-1}) \}. \quad (1.38)$$

Then the results of Botvinnik and Gilkey in [1] imply that the analogs of (1.24) of Example 1.21 and of (1.29) of Remark 1.25 hold. For the convenience of the reader we explicitly restate the latter property in this new context:

$$\forall f \in \text{Class}_0^-(\mathbb{Z}/n) \exists y \in \text{Pos}_m^{\text{spin}}(B\mathbb{Z}/n) \otimes \mathbb{C} \mid \sum_h \rho_h(y) f(h) \neq 0 \quad (1.39)$$

1.40 Theorem. *Let Γ be a discrete group. Let \mathcal{C}_{fp} and $\tau : \mathcal{C}_{fp} \rightarrow \mathcal{C}_{fp}$ be as in the statement of Theorem 1.35.*

Let $\mathcal{C}_{fp}^0 = \{ \langle h \rangle \in \mathcal{C}_{fp} \mid \langle h \rangle \neq \langle h^{-1} \rangle \}$. Assume that M is a closed spin manifold with fundamental group Γ , with classifying map $u : M \rightarrow B\Gamma$, of dimension $4k + 1$, $k \geq 1$. Then, on $\text{charPos}^{\text{spin}}(M, u)$, a free abelian group of rank $|\mathcal{C}_{fp}^0/\tau|$ acts freely.

Moreover, if \mathcal{C}_{fp}^0 is not empty then there are infinitely many bordism classes which are different modulo the “action” of the diffeomorphism group as in Theorem 1.33. As a consequence, the space $\mathcal{R}^+(M)/\text{Diffeo}(M)$, the moduli space of metrics of positive scalar curvature, has infinitely many components in our situation, distinguished by the collection $\rho_{\langle h \rangle}$, $\langle h \rangle \in \mathcal{C}_{fp}^0$.

Proof. Let $\langle h \rangle \in \mathcal{C}_{fp}^0$ and consider the function $\kappa(h)$ which is equal to 1 on $\langle h \rangle$, equal to -1 on $\langle h^{-1} \rangle$ and 0 elsewhere; $\kappa(h)$ so defined is an element of $\text{Class}_0^-(B\Gamma)$. Let L^0 be the vector subspace of $\text{Class}_0^-(B\Gamma)$ whose elements are finite linear combinations of $\kappa(h)$, with $\langle h \rangle \in \mathcal{C}_{fp}^0$. This is a vector space of dimension $|\mathcal{C}_{fp}^0/\tau|$. Using the induction formula and (1.39) the proof now proceeds as in the proof of Theorem 1.35.

If \mathcal{C}_{fp}^0 is not empty, choose the collection of functions $\kappa(h)$ of L^0 for $\langle h \rangle \in \mathcal{C}_{fp}^0$. Note that $\kappa(h^{-1}) = -\kappa(h)$. Then, dualizing Φ , we get a map

$$\mathcal{R}^+(M) \rightarrow \text{Pos}_m^{\text{spin}}(B\Gamma) \otimes \mathbb{C} \rightarrow \mathbb{C}^{\mathcal{C}_{fp}^0}; [M, g, u] \mapsto (\Phi(\kappa(h))(M, g, u))_{\langle h \rangle \in \mathcal{C}_{fp}^0}$$

with infinite image. If we had chosen one half of the functions $\kappa(h)$, forming a basis, the map would have been surjective.

Now, given a spin structure preserving diffeomorphism $\Psi : M \rightarrow M$ (with a given lift to the spin principal bundle), we get an induced automorphism α_Ψ of Γ as in the proof of Proposition 1.18, and an induced permutation of \mathcal{C}_{fp}^0 . Moreover, by (1.20),

$$\Phi(\kappa(h))(M, \Psi^*g, u) = \Phi(\kappa(\alpha_\Psi(h)))(M, g, u)$$

so that we above map induces a well defined map

$$\pi_0(\mathcal{R}^+(M)/\text{Diffeo}_\#(M)) \rightarrow \mathbb{C}^{\mathcal{C}_{fp}^0}/\Sigma,$$

where we quotient the right hand side by the action of the permutation group, permuting the entries of the vector. Since this group is finite, the image still is infinite.

Since the spin structure preserving diffeomorphisms have finite index in the whole diffeomorphism group, even $\pi_0(\mathcal{R}^+(M)/\text{Diffeo}(M))$ is infinite. \square

1.41 Remark. Notice, in particular, that if $\dim(M) \equiv 1 \pmod{4}$ and if Γ contains an element g of finite order not conjugate to its inverse and such that the conjugacy class $\langle g \rangle$ has polynomial growth, then a manifold M as above admits infinitely many pairwise non-bordant metrics of positive scalar curvature. To our knowledge, this is the first such result of considerable generality.

1.42 Remark. We want to point out that there are many non-trivial examples of groups Γ , where \mathcal{C}_{fp}^0 is non-empty. In particular, this applies to

- (1) Groups with a central element of odd order (here the relevant conjugacy class consists of one element). For an arbitrary group H and a finite group F (of odd order), all non-trivial elements of F in $F \times H$ have this property.
- (2) Many groups with a non-trivial finite conjugacy center, consisting of elements of finite order. Such groups are e.g. obtained as extensions $1 \rightarrow F \rightarrow G \rightarrow H \rightarrow 1$ with F finite (and of odd order).
- (3) groups of polynomial growth with elements of finite order (in this case, every conjugacy class has of course polynomial growth).
- (4) The restricted wreath product $(\bigoplus_{k \in \mathbb{Z}} \mathbb{Z}/n) \rtimes \mathbb{Z}$ (if $n = 2$ this is called the lamplighter group) is a group of exponential growth, such that every element in the normal subgroup $\bigoplus_{k \in \mathbb{Z}} \mathbb{Z}/n$ has an infinite conjugacy class of polynomial growth.

Similar examples give rise to non-empty \mathcal{C}_{fp} .

Further questions and open problems:

1) We study only π_1 -bordism, which is necessary for our method, because it uses the common fundamental group throughout. Nonetheless, this concept is somewhat unnatural from a geometric point of view. It would be interesting to know whether our examples remain non-bordant if we talk about the most obvious simple definition of bordism of metrics of positive scalar curvature, or to find any examples which are not bordant in this weak sense.

2) We get some information about the number of components of the space of metrics of positive scalar curvature. What else can be said about its topology, in particular about higher homotopy groups?

3) We prove that for spin manifolds of dimension $4k + 1$ with positive scalar curvature and with fundamental group which contains a central element of odd order, the moduli space of metrics of positive scalar curvature has infinitely many components. In dimension $4k + 3$ this is known unconditionally - what about the given dimension $4k + 1$.

2 An example of a non-convergent delocalized eta invariant

In this section we compute Lott's delocalized η -invariant of an easy example, and use this to produce an example where it does not converge.

Consider the manifold S^1 with the usual metric. The Dirac (and signature) operator of S^1 is (unitarily equivalent to) the operator $D = \frac{1}{i} \frac{d}{dx}$.

The integral kernel $k_t(x, y)$ of $\tilde{D} \exp(-t\tilde{D}^2)$ on the universal covering \mathbb{R} of S^1 is

$$k_t(x, y) = -i \frac{x - y}{2t\sqrt{2\pi t}} e^{\frac{(x-y)^2}{4t}}.$$

Fixing the fundamental domain $\mathcal{F} = [0, 1]$ for the covering projection, and using the action by the deck transformation group \mathbb{Z} by addition: $(x, n) \mapsto x + n$, the

delocalized eta-invariant for a subset $X \subset \mathbb{N}$ formally would be

$$\begin{aligned} \eta_X(\tilde{D}) &:= \frac{1}{\sqrt{\pi}} \int_0^\infty \sum_{n \in X} \int_{\mathcal{F}} k_t(x, x+n) dx \frac{dt}{\sqrt{t}} \\ &= -\frac{i}{4\pi} \int_0^\infty \sum_{n \in X} \int_0^1 \frac{n}{t^2} e^{n^2/4t} dx dt \\ &= -\frac{i}{4\pi} \sum_{n \in X} \frac{1}{n} \int_0^\infty \frac{e^{1/4t}}{t^2} dt, \end{aligned}$$

where at the end we use the substitution $t/n^2 = s$ and the fact that the integrands are all positive, such that we can interchange the summation over $n \in X \subset \mathbb{N}$ and the integral over t .

It is clear that this expression is divergent for suitable infinite $X \subset \mathbb{N}$.

Consider next the group $\Gamma = \mathbb{Q} \rtimes (\bigoplus_{n \in \mathbb{Z}} \mathbb{Z})$, where the generator of the n -th summand of $\bigoplus \mathbb{Z}$ acts by multiplication with the $|n|$ -th prime number. By the definition of semidirect products, the conjugacy class of $1 \in \mathbb{Q}$ in the kernel group is exactly $\mathbb{Q}_{>0}$. Its intersection with the subgroup \mathbb{Z} generated by 1 is therefore $\mathbb{N}_{>0} \subset \mathbb{Z}$.

Consider also $G := \Gamma \rtimes_\alpha \mathbb{Z}$, the HNN-extension of Γ along $\alpha: \bigoplus_{n \in \mathbb{Z}} \mathbb{Z} \rightarrow \bigoplus_{n \in \mathbb{Z}} \mathbb{Z}; (n \mapsto \lambda_n) \mapsto (n \mapsto \lambda_{n+1})$, the shift of the non-normal subgroup $\bigoplus_{n \in \mathbb{Z}} \mathbb{Z}$. Then G is generated by 3 elements: 1 in the additive groups of \mathbb{Q} , a generator of the copy of \mathbb{Z} labelled with zero in $\bigoplus_{n \in \mathbb{Z}} \mathbb{Z}$, and the stable letter t . Moreover, using the normal form of elements in an HNN-extension, the intersection of the conjugacy class of 1 with \mathbb{Q} still consists of $\mathbb{Q}_{>0}$, and therefore the intersection with the additive subgroup of integers consists of the natural numbers.

Observe that G is finitely generated, but by its definition only recursively countably presented. As such, by a standard procedure, G can be embedded into a finitely presented group H which is obtained as follows (compare [15, Theorem 12.18]).

One first constructs an auxiliary group B_2 , then considers the group $B_3 = B_2 * G$, the free product of B_2 and G . The next group is an HNN-extension of B_3 along a subgroup which is of the form $U * G$ for a suitable subgroup U of B_2 . The stable letters act trivially on G . By the normal form of elements of an HNN-extension, it follows that for every element $x \in G$, the conjugacy class of x in G is equal to the intersection of the conjugacy class of x in B_3 with G .

In the next steps, one constructs two further HNN-extensions of the previous group (starting with B_3) along subgroups with trivial intersection with G . Again, it follows from the normal form of elements in an HNN-extension that for every $x \in G$ the conjugacy class of x in G coincides with the intersection of G with the conjugacy class of x in the bigger group. The final group $H := B_6$ is finitely presented, contains G (and therefore \mathbb{Z}) as a subgroup, and the intersection of the conjugacy class of 1 with \mathbb{Z} consists exactly of the positive integers.

Consider $u: S^1 \rightarrow B\mathbb{Z} \rightarrow B\Gamma \rightarrow BG \rightarrow BH$, where the first map is the classifying map for the universal covering (i.e. the identity if we use the model $B\mathbb{Z} = S^1$.) and the other maps are induced by the inclusion $\mathbb{Z} \hookrightarrow \Gamma \hookrightarrow G \hookrightarrow H$ (the first inclusion sends $1 \in \mathbb{Z}$ to $1 \in \mathbb{Q} \subset \Gamma$).

Let $\overline{M} \rightarrow S^1$ be the induced covering, and \overline{D} the lift of D to this covering. Then, by the formula for delocalized eta-invariants of induced manifolds,

$$\eta_{\langle 1 \rangle}(\overline{D}) = \eta_P(\tilde{D})$$

with $P = \mathbb{N}_{>0} \subset \mathbb{Z}$, which is not convergent by the above calculation.

This is an example of an operator where the delocalized eta-invariant of John Lott is not defined.

2.1 Remark. The same calculation works for the product of a manifold M of dimension $4k$ with S^1 with product metric. During the calculations, one has to multiply the above expressions for S^1 with $\hat{A}(M)$. If this number is non-zero, one therefore gets the same non-convergence behaviour for manifolds of arbitrarily high dimension.

Similar calculations should also be possible for more general mapping tori of a $4k$ -manifold, compare [11]. One should be able to work with the signature as well as the Dirac operator.

2.2 Remark. It is probably not trivial to obtain an example where the conjugacy-class (inside the new group Γ) has polynomial growth. Observe that this is not the case for the construction we describe.

It would also be very interesting to find examples of non-convergence with positive scalar curvature (then, necessarily, the conjugacy class could not have to have polynomial growth).

It would be even more interesting if one could produce examples as above where the fundamental group of the manifold is the group H . It is not clear to us how to construct such an example and keep control of the calculation of the η -invariant.

Another open problem is the construction of examples with non-convergent delocalized L^2 -Betti numbers. As a starting point, one should again look for manifolds with many non-trivial such; by induction to larger groups one might then be able to obtain one conjugacy class where the invariants don't converge.

3 Possible values of APS-rho invariants for the Dirac operator

In this section, we prove Proposition 1.8. Its proof is modeled on the proof of the corresponding statement [5, Theorem 7.1] for the signature operator.

Let M be a closed spin manifold with positive scalar curvature. Let $u: M \rightarrow B\Gamma$ be a continuous map and $\lambda_1, \lambda_2: \Gamma \rightarrow U(d)$ two finite dimensional unitary representations of Γ . Set $\Gamma_1 := \text{im}(\lambda_1)$ and $\Gamma_2 := \text{im}(\lambda_2)$. We consider Γ_1 and Γ_2 as *discrete* groups which happen to be subgroups of $U(d)$.

We compose u with the maps induced by λ_1 and λ_2 to get $v: M \rightarrow B[\Gamma_1 \times \Gamma_2]$. The tuple (M, v) then represents an element $[M, v]$ in the real K-homology of $B[\Gamma_1 \times \Gamma_2]$. We can now apply the reduced Baum-Connes map μ_{red} to this element, to get

$$\text{ind}(D_L) \in KO_*(C_{red}^*(\Gamma_1 \times \Gamma_2)).$$

Here L is the Mishchenko-Fomenko line bundle associated to v .

Since M has positive scalar curvature, this index is zero by the Lichnerowicz formula. On the other hand, $\Gamma_1 \times \Gamma_2$ is a linear group by its very construction. By the main result (0.1) of [5], the following map

$$\mu_{red}: K_*^{\Gamma_1 \times \Gamma_2}(E[\Gamma_1 \times \Gamma_2]) \rightarrow K_*(C_{red}^*(\Gamma_1 \times \Gamma_2))$$

is split injective in this case. Their proof applies in the same way to the real K-theory, since they really prove that linear groups uniformly embed into Hilbert space, which implies the coarse Baum-Connes isomorphism conjecture for linear groups. This in turn implies the real coarse Baum-Connes conjecture for linear groups by a well known principle, compare e.g. [16]. From here, the descent principle implies split injectivity of the usual real reduced Baum-Connes map. Therefore

$$KO_*^{\Gamma_1 \times \Gamma_2}(E[\Gamma_1 \times \Gamma_2]) \rightarrow KO_*(C_{\mathbb{R},red}^*(\Gamma_1 \times \Gamma_2))$$

is also injective.

We have to produce a link between $KO_*^{\Gamma_1 \times \Gamma_2}(E[\Gamma_1 \times \Gamma_2])$ and the non-equivariant Baum-Connes map with $KO_*(B[\Gamma_1 \times \Gamma_2])$ used so far. There is a canonical map

$$KO_*(B[\Gamma_1 \times \Gamma_2]) \rightarrow KO_*^{\Gamma_1 \times \Gamma_2}(E[\Gamma_1 \times \Gamma_2])$$

By standard arguments (compare [5, Section 7] and [13, Lemma 2.9]) in equivariant homology theory, this map is split injective after tensoring with R of Proposition 1.8.

Putting these two facts together,

$$\mu_{red}: KO_*(B[\Gamma_1 \times \Gamma_2]) \otimes R \rightarrow KO_*(C_{red}^*(\Gamma_1 \times \Gamma_2)) \otimes R$$

is injective. Since we have already seen that $\text{ind}(D_L) = 0$, this implies that there is $l \in \mathbb{N}$ which is a product of orders of elements of $\Gamma_1 \times \Gamma_2$ such that

$$l \cdot [M, u] = 0 \in KO_*(B[\Gamma_1 \times \Gamma_2]).$$

We now use the geometric description of $KO_*(X)$ in terms of spin bordism due to Hopkins-Hovey [6, Theorem 1]. First observe that there is a natural map $\Omega_*^{spin}(X) \rightarrow KO_*(X)$ which assigns to a spin manifold M with map $v: M \rightarrow X$ the class $[M, v] \in KO_*(X)$ given by the geometric description of $KO_*(X)$. Next, consider the special case $\tau: \Omega_*^{spin}(pt) \rightarrow KO_*(pt)$ of this homomorphism for X equal to a point. This is a (graded) ring homomorphism with kernel consisting of some manifolds with vanishing \hat{A} -genus, and cokernel the ideal generated by $KO_{-8}(pt)$. We can consider $KO_*(pt)$ as a module over $\Omega_*^{spin}(pt)$ via τ and form $\Omega_*^{spin}(X) \otimes_{\Omega_*^{spin}(pt)} KO_*(pt)$. The result of Hopkins and Hovey says that for each CW-complex X the induced map is an isomorphism:

$$\Omega_*^{spin}(X) \otimes_{\Omega_*^{spin}(pt)} KO_*(pt) \xrightarrow{\cong} KO_*(X).$$

3.1 Definition. A Bott manifold, B is a 8-dimensional simply connected spin manifold with $\hat{A}(B) = 1$.

3.2 Lemma. *If $[M, v] = 0 \in KO_*(X)$ then there are $n \in \mathbb{N}$ and spin manifolds A_i, C_i with $\hat{A}(C_i) = 0$ and continuous maps $u_i: A_i \rightarrow X$ such that $[M, v] \times B^n$ is bordant in $\Omega_*^{spin}(X)$ to the disjoint union of $[A_i \times C_i, u_i]$. The maps to X are given by first projecting to the first factor and then using v or u_i , respectively.*

Proof. Considering $KO_*(pt)$ as a module over $\Omega_*^{spin}(pt)$ as above, we obtain a split exact sequence of graded $\Omega_*^{spin}(pt)$ -modules

$$0 \rightarrow D \rightarrow \Omega_*^{spin}(pt)[x]/(Bx - 1) \rightarrow KO_*(pt) \rightarrow 0, \quad (3.3)$$

where the middle term is the quotient of the polynomial ring by the ideal generated by $(Bx - 1)$ where B is the Bott manifold, and where x has degree -8 and is mapped to the generator of $KO_{-8}(pt)$. Note that $\Omega_*^{spin}(pt)[x]/(Bx - 1)$ is actually the localization $\Omega_*^{spin}(pt)[B^{-1}]$ where we invert B . The split is determined by the inverse of τ in degrees 0 through 7 (where τ is invertible), and by mapping the generator of $KO_8(pt)$ to B .

Every element in $\Omega_*^{spin}(pt)[B^{-1}]$ can be written (non-uniquely) as $x^k[M]$ for a suitable spin manifold M (because of the simple form of the relation, one can multiply every monomial with $B^j x^j$ to make any polynomial homogeneous and represent the same element in the quotient). Because the image of x in $KO_*(pt)$ is a unit, the kernel D consists therefore of elements of the form $[M]x^k$ with $\hat{A}(M) = 0$.

Tensor now (3.3) with $\Omega_*^{spin}(X)$ to obtain a short split exact sequence of abelian groups

$$\begin{aligned} 0 \rightarrow \Omega_*^{spin}(X) \otimes_{\Omega_*^{spin}(pt)} D \\ \rightarrow \Omega_*^{spin}(X)[B^{-1}] \rightarrow \Omega_*^{spin}(X) \otimes_{\Omega_*^{spin}(pt)} KO_*(pt) \rightarrow 0. \end{aligned} \quad (3.4)$$

Observe now that, by the universal property of the localization, the $\Omega_*^{spin}(pt)$ -module homomorphism $\Omega_*^{spin}(X) \rightarrow \Omega_*(X) \otimes_{\Omega_*^{spin}(pt)} KO_*(pt) \cong KO_*(X)$ factors through $\Omega_*^{spin}(X)[B^{-1}]$ because B is mapped to an invertible element of $KO_*(pt)$. Every element in the kernel of the projection $\Omega_*^{spin}(X)[B^{-1}] \rightarrow KO_*(X)$ is a product of B^{-k} with a disjoint union of elements $[A_i \times C_i, u_i]$ as in the statement of the lemma. By assumption, $[M, v]$ is mapped to such an element in the localization $\Omega_*^{spin}(X)[B^{-1}]$. Finally, two elements in such a localization are equal if they are bordant (i.e. equal in $\Omega_*^{spin}(X)$) after multiplication with a sufficiently high power of B . This finishes the proof of the lemma. \square

We conclude that, since $l \cdot [M, v] = 0 \in KO_*(B[\Gamma_1 \times \Gamma_2])$, there are product manifolds $A_i \times C_i$ with $\hat{A}(C_i) = 0$ and with continuous maps $u_i: A_i \rightarrow B[\Gamma_1 \times \Gamma_2]$ and $n \in \mathbb{N}$ such that $l \cdot [M, v] \times B^n$ is bordant in $\Omega_*^{spin}(B[\Gamma_1 \times \Gamma_2])$ to $\sum [A_i, u_i] \times C_i$. Let $[W, f]$ be the corresponding bordism. Note that the flat bundles associated to λ_1 and λ_2 pull back from M to each copy of $M \times B^n$ in ∂W and extend to all of W , restricting on $A_i \times C_i$ to flat bundles which pull back from A_i .

By the multiplicativity of eta invariants (compare [4]),

$$\rho_{\lambda_1 - \lambda_2}(M \times B^n) = \rho_{\lambda_1 - \lambda_2}(M) \cdot \hat{A}(B^n) = \rho_{\lambda_1 - \lambda_2}(M).$$

On the other hand,

$$\rho_{\lambda_1 - \lambda_2}(A_i \times C_i) = \rho_{\lambda_1 - \lambda_2}(A_i) \hat{A}(C_i) = 0.$$

By the classical Atiyah-Patodi-Singer index theorem, the difference of the APS-indices of the Dirac operator on W twisted with the flat bundles associated

to λ_1 and λ_2 is an integer, which is equal to the difference of the rho-invariants of $l \cdot M \times B^n$ and of $A_i \times C_i$ (indeed, the local terms will cancel out). To conclude

$$l \cdot \rho_{\lambda_1 - \lambda_2}(M) \in \mathbb{Z},$$

and this is exactly what we had to prove.

It should be remarked that the calculation of $KO_*(X)$ in terms of spin-bordism of [6] is very non-trivial, and is crucially used in our argument.

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